



December 14, 2022

Delta Conveyance Design and Construction Authority  
Board of Directors

Subject: ***Final Materials for the December 15, 2022 Regular Board Meeting***

Members of the Board:

The Delta Conveyance Design and Construction Authority (DCA) Board of Directors will have a Regular Board Meeting, scheduled for **Tomorrow, December 15th, 2022 at 2:00p.m.**, and will be a **hybrid** meeting. Members of the public may attend the meeting in person or virtually. The call-in and video information, as well as meeting location is provided in the attached agenda. Meeting information will also be posted on the [dcdca.org](http://dcdca.org) website.

Please note that given the current COVID-19 guidelines, the DCA will comply with public health recommendations regarding public meetings and social distancing efforts. Any meeting changes or cancellation will be communicated.

Enclosed are the final meeting materials for the Board meeting in a PDF file, which has been bookmarked for your convenience.

Regards,

Graham Bradner  
DCA Executive Director



DELTA CONVEYANCE DESIGN AND CONSTRUCTION AUTHORITY  
BOARD OF DIRECTORS MEETING

REGULAR MEETING

Thursday, December 15, 2022

2:00 p.m.

Hybrid Meeting (In Person and Remote Attendance)

DCDCA Boardroom  
980 9th Street, Suite 100  
Sacramento, CA 95814

(Authorized by AB 361 / Government Code Section 54953(e))

**Conference Access Information:**

Phone Number: (669) 444-9171 Access Code: 833 6616 9986

**Virtual Meeting Link:** <https://dcdca-org.zoom.us/j/83366169986>

Please join the meeting from your computer, tablet, or smartphone

Additional information about participating by telephone or via the remote meeting solution is available here: <https://www.dcdca.org>

AGENDA

In compliance with Government Code Section 54953(e), the meeting will be held both in person and through the listed meeting link and telephone number. Assistance to those wishing to participate in the meeting in person or remotely will be provided to those requiring accommodations for disabilities in compliance with the Americans with Disabilities Act of 1990. Interested person must request the accommodation as soon as possible in advance of the meeting by contacting the DCA support staff at (888) 853-8486 or [info@dcdca.org](mailto:info@dcdca.org). Members of the public may speak regarding items on the agenda during those items and when recognized by the Chair. Speakers are limited to three minutes each; however, the Chair may limit this time when reasonable based on the circumstances. Persons wishing to provide public comment remotely on Agenda Items are encouraged to complete a public comment request form at: <https://tinyurl.com/dcapubliccomment> by 2:15 pm. In addition, members of the public may use the “raise hand” function (\*9 if participating by telephone only) during the meeting to request the opportunity to speak. Additional information will be provided at the commencement of the meeting.

1. CALL TO ORDER
2. ROLL CALL
3. PLEDGE OF ALLEGIANCE

**4. PUBLIC COMMENT**

*Members of the public may address the Authority on matters that are within the Authority's jurisdiction but not on the agenda at this time. Speakers are generally limited to three minutes each; however, the Chair may further limit this time when reasonable based on the circumstances. Persons wishing to speak may do so remotely through the electronic meeting link or teleconference number when recognized by the Chair.*

**5. APPROVAL OF MINUTES:**

- (a) October 20, 2022 Regular Board Meeting Minutes
- (b) November 18, 2022 Special Board Meeting Minutes

**6. CONSENT CALENDAR**

*Items on the Consent Calendar are considered to be routine by the Board of Directors and will be enacted by one motion and one vote. There will be no separate discussion of these items unless a director so requests, in which event the item will be removed from the Consent Calendar and considered separately.*

- (a) Approve Extending Resolution Authorizing Virtual Board and Committee Meetings Pursuant to AB 361.

**7. DISCUSSION ITEMS**

- (a) December DCA Monthly Report  
Recommended Action: Information Only
- (b) Sr. Leadership Spotlight – Rod Houser  
Recommended Action: Information Only
- (c) DCA FY 2021/22 Financial Annual Audit Report  
Recommended Action: Information Only
- (d) JEPA Amendment 7  
Recommended Action: Adopt Resolution Approving Amendment No. 7 to JEPA with DWR and Finding that Execution of Amendment is Not a Project Subject to CEQA
- (e) AB2449 Remote Meeting Update  
Recommended Action: Information Only

8. STAFF REPORTS AND ANNOUNCEMENTS

- (a) General Counsel's Report
- (b) Treasurer's Report
- (c) Verbal Reports, if any

9. FUTURE AGENDA ITEMS

10. ADJOURNMENT

\* \* \* \* \*

*Next scheduled meeting: February 16, 2023 Regular Board Meeting at 2:00 p.m. (1:30 p.m. if there is a closed session).*

BOARD OF DIRECTORS MEETING

# MINUTES

REGULAR MEETING

Thursday, October 20, 2022

2:00 p.m.

(Paragraph numbers coincide with agenda item numbers)

**1. CALL TO ORDER**

The regular meeting of the Delta Conveyance Design and Construction Authority (DCA) Board of Directors was called to order remotely - Conference Access Information: Phone Number: (916) 262-7278, Code: 1468030055#, <https://meetings.ringcentral.com/j/1468030055> at 2:00 pm.

**2. ROLL CALL**

Board members in attendance remotely were Martin Milobar, Gary Martin, Richard Atwater, Tony Estremera, and Alternate Director Dennis LaMoreaux sitting in for Adnan Anabtawi. Sarah Palmer and Robert Cheng participated from the DCA Board Room, constituting a quorum of the Board.

Alternate Directors in attendance remotely were Dan Flory, Royce Fast and John Weed; the Board Clerk captured their attendance for the record.

DCA staff members in attendance were Graham Bradner, Damaris Villalobos-Galindo, Marcie Scott and Joshua Nelson.

**3. PLEDGE OF ALLEGIANCE**

President Sarah Palmer convened the open session at approximately 2:03 p.m. and led all present in reciting the Pledge of Allegiance.

**4. PUBLIC COMMENT**

President Palmer opened Public Comment. There were no public comment requests received, and the comment period was closed.

**5. APPROVAL OF MINUTES: August 18, 2022 Regular Board Meeting, September 15, 2022 Regular Board Meeting, and October 14, 2022 Special Board Meeting**

Recommendation: Approve the August 18, 2022 Regular Board Meeting, September 15, 2022 Regular Board Meeting and October 14, 2022 Special Board Meeting Minutes

Motion to Approve Minutes from August 18, 2022, September 15, 2022, and October 14, 2022, as

Noted: Cheng

Second: Palmer  
Yeas: Palmer, Milobar, Martin, Atwater, Estremera, Cheng, LaMoreaux  
Nays: None  
Abstains: None  
Recusals: None  
Absent: None  
Summary: 7 Yeas; 0 Nays; 0 Abstain; 0 Absent. (Motion passed as MO 22-10-01).

## 6. CONSENT CALENDAR

### a) **Extend Resolution No. 2021-08 Authorizing Virtual Board and Committee Meetings Pursuant to AB 361**

Recommendation: Approve Extending Resolution Authorizing Virtual Board and Committee Meetings Pursuant to AB 361

Motion to Approve Extending Resolution Authorizing Virtual Board and Committee Meetings Pursuant to AB 361, as

Noted: Estremera  
Second: Cheng  
Yeas: Palmer, Milobar, Martin, Atwater, Estremera, Cheng, LaMoreaux  
Nays: None  
Abstains: None  
Recusals: None  
Absent: None  
Summary: 7 Yeas; 0 Nays; 0 Abstain; 0 Absent. (Motion passed as Resolution 21-08-15).

## 7. DISCUSSION ITEMS:

### a) **October DCA Monthly Report**

#### *Informational Item*

Executive Director, Graham Bradner, presented an overview of the monthly board report. He started with Section 1: Worked Performed, highlighting that the Program Management team continues to process invoices for Fiscal Year (FY) 2021/2022. The team is also developing and processing Task Orders, Task Order Amendments, and Purchase Orders for FY 22/23. The Administration team continues to provide support to the DCA Board of Directors and coordinate DCA events. The DCA is in the process of transitioning the video and phone provider to Zoom. Mr. Bradner is hopeful that the December Board meeting will be held via the new platform. The Engineering team continues to support the Department of Water Resources (DWR) permitting activities as they request for information and clarification regarding the conceptual designs and reference documents the DCA provided to support the Draft Environmental Impact Report (EIR). The Geotechnical team continues to review existing and new data obtained from the soil investigations and provide support to DWR's consideration of alternatives. Mr. Bradner noted that there are several ongoing Fieldwork activities that will be discussed during Agenda Item 7b.

Mr. Bradner continued to Section 3: Budget, stating that the report shows financials from both the current and the previous fiscal year as there is an active task order that was extended from the previous fiscal year. He reminded the Board that the DCA has an approved FY 22/23 budget of \$31.16M of which approximately \$27M is currently committed. The balance that has yet to be committed is the unallocated reserve within the Executive Office budget line, a portion of the Community Engagement budget line, and a majority of the Fieldwork budget line. The Fieldwork task orders are issued based on the investigation programs and the budget is expected to be fully expended by the end of the fiscal year. The estimate at completion will be analyzed in either January or February, once there is a better understanding of the projections and budget. In regard to cash flow, the July and August actuals were close to the projected amounts, and this should be the case for the coming months through November. The original budget for the previous fiscal year was \$21.5M which increased to \$24.47M after rolling over underrun from previous fiscal years in July. The FY 21/22 budget had a total underrun of approximately \$6.4M and \$3.11M of that was rolled over into the current FY 22/23 budget. There is only one (1) remaining task order open for FY 21/22 and the work should be completed by the end of October 2022. The FY 21/22 cash flow reflects the Fieldwork Program fully operating in June, making the actuals almost equal the projected amount.

Lastly, Mr. Bradner went over the program schedule. The Program Management office activities continue as planned. The Engineering team continues with its primary focus on permitting support as well as advancing several of the concept validation studies. The Geotechnical team has three (3) programs active in various phases.

Director Cheng inquired about the lag time between invoices and payments.

Mr. Bradner informed the Board that the time between invoicing and payment is typically 80 days as it is an extensive process. The lag between when labor occurred versus when it is invoiced varies depending on the contractors. The Fieldwork contractors tend to be busy and may not include the labor until the next invoice.

President Palmer asked if there are any known problems in respect to invoicing from the folks that the DCA works with.

Mr. Bradner stated that there are no problems, and the DCA's priority is to ensure that the contractors are submitting invoices and getting paid in a timely manner.

No further questions or comments were presented by the Board.

**b) DCA Field Work Activities Program Update**

*Informational Item*

Executive Director, Graham Bradner, introduced the DCA's Field Exploration Manager, Damaris Villalobos-Galindo to provide the update on this item.

Ms. Villalobos-Galindo reminded the Board of the Field Exploration Programs goals. This includes determining the composition, location, and soil properties throughout the Delta, providing geotechnical and environmental data for the evaluation of project alternatives and updating the

ongoing geologic models by filling in data gaps. All of the current explorations are a part of the Initial Study and Mitigated Negative Declaration (IS/MND) which was adopted in July 2020 and subsequently updated with Addenda. Ms. Villalobos presented a map containing all 326 operations that are covered as part of the IS/MND, including land borings, cone penetration tests and overwater boardings. The soil investigation program includes a wide area of potential exploration locations throughout the delta, however not all of the explorations have been completed because completion of each exploration is contingent upon availability of appropriate approvals, additional permitting, and accessibility. Up to today, the Fieldwork team has completed about 33% of the explorations covered under the IS/MND and about 50%, 107 explorations, of the 33% were completed after Fall 2021. There are an additional 69 explorations that are ongoing and planned for the next calendar year. Currently, the team is in the midst of the Fall Exploration Program. The programs are typically comprised of 60% soil borings and 40% cone penetration.

Ms. Villalobos-Galindo showed a comparison of two (2) maps with an overlay of the proposed project alternatives. The first (1<sup>st</sup>) map showed historical soil investigations that were obtained via other projects. The second (2<sup>nd</sup>) map showed soil investigations obtained between 2009-2021 that were relevant to the project as the bores were approximately 150 feet underground. Most of the borings were along the Central Alignment, leaving significant data gaps for the Eastern and Bethany Alternative Alignments. The Fieldwork team completed the Spring 2022 Exploration Program in June and the Summer 2022 Exploration Program in September in an attempt to fill these data gaps and update the geologic models. After the borings are complete, the samples undergo two (2) different testing, laboratory and in-situ. The laboratory testing is performed at a lab and consists of gradation and fines content, and Atterberg limits index tests and triaxial consolidated and unconsolidated, direct shear, various rock strength tests and other various tests. The in-situ testing is performed in-house and consists of standard penetration tests, cone penetration test (CPT) resistances, pressuremeter, seismic CPTs, PS-Logging, CPT pore-pressure dissipation and slug, falling head, and packer tests. These tests provide a better understanding for a variety of project components including tunneling methods, machine selection, dewatering and slurry walls, foundations, and more.

President Palmer inquired if there has been any collaboration with the United States Geological Survey agency or if they are to have access to the information.

Mr. Bradner informed the Board that he believes that there has been some collaboration with the agency in collecting the historical information, and he expects that there will be continued collaboration potentially coordination through DWR.

Director Cheng thanked Ms. Villalobos-Galindo for her presentation. He was curious whether the DCA could perform all 326 explorations at once or if the IS/MND possesses limitations.

Mr. Bradner informed the Board that many of the explorations are not easily accessible, requiring temporary entry permits or court-ordered entry access. The historical data was not readily available when the IS/MND was composed, and the team has found that a lot of the explorations identified in the IS/MND have already been historically assessed. The DCA is now focusing on areas that still contain gaps.



Director Cheng inquired about the timing of when the historical data was collected and if the technology today allows for better reliability. He also asked how the team determines which test to perform in each area and the relative cost for those tests.

Mr. Bradner informed the Board that a lot of the data was collected in the mid to late 1950's and provides valuable data for shallow subsurface information. The more recent data does tend to be better, however the older data can still be useful. The Engineering team determines which test to perform depending on the information needed.

Director Cheng asked if the DCA intends to perform all 326 borings.

Mr. Bradner informed the Board that the DCA does not intend to perform all 326 borings.

President Palmer stated that some of the historical data is important for determining levy strengthen composition because some of that will not have changed over the years.

Mr. Bradner agreed with President Palmer, however unfortunately there's a lot of laboratory testing that's required for more advanced analysis and even though many of those points are useful from a stratigraphy perspective, they don't have the laboratory testing that's needed for a more detailed analysis.

Director Martin thanked Ms. Villalobos-Galindo for the presentation and stated how critical this is for the effort of this project. He asked if the Board should expect to see this kind of update in the future on a regular basis and will the necessarily slow-moving operation of the subsurface investigation start to produce results that the Board can see and hear more about?

Mr. Bradner informed the Board that the DCA will update the Board periodically during the current phase of the exploration program. There is a limitation regarding public distribution of this information as the entry documentation requires that the information be treated as confidential. The DCA is updating Delta wide geologic conditions and providing this information to DWR for their review of alternatives and evaluation.

James Crowder, Attorney at Soluri Meserve, A Law Corporation, provided public comment, informing the Board that the initial study and IS/MND that the geotechnical investigations rely on is currently being litigated.

No further questions or comments were presented by the Board. No additional public comment request received for this item.

**c) DCA Policy Against Discrimination, Harassment and Retaliation**

*Adopt Resolution*

Executive Director, Graham Bradner, introduced Marcie Scott, DCA Human Resources Manager. Ms. Scott informed the Board that this policy is vital for building the foundation for future personnel management and it is important to define the federal requirements and the broader mandates that are in California law, as well as the process for any complaints to be raised as well as how they will be addressed. She reminded the Board that the DCA does not currently have any

employees, however it is important to communicate clearly and collaborate with the contracting agencies on the DCA process. This will also allow the DCA to set expectations for the workspace to ensure a professional and appropriate workspace for everyone.

President Palmer thanked Ms. Scott for her presentation.

No comments or questions received from the Board, as well as no public comment requests received.

Recommendation: Approve Resolution to Adopt a Policy Against Discrimination, Harassment and Retaliation

Motion to Approve Resolution to Adopt a Policy Against Discrimination, Harassment and Retaliation, as

Noted: Martin

Second: Estremera

Yeas: Palmer, Milobar, Martin, Atwater, Estremera, Cheng, LaMoreaux

Nays: None

Abstains: None

Recusals: None

Absent: None

Summary: 7 Yeas; 0 Nays; 0 Abstain; 0 Absent. (Motion passed as Resolution 22-08).

## 8. STAFF REPORTS AND ANNOUNCEMENTS:

### a. General Counsel's Report

DCA General Counsel, Josh Nelson, provided the written report in the Board package. Mr. Nelson informed the Board that the Governor has announced that the statewide declaration of emergency for the COVID-19 pandemic will sunset at the end of February of next year. This does have an important potential change to the Board's ability to utilize the very flexible teleconference rules under AB361, which were included in the new remote meeting legislation, AB 2449 and takes effect January 1<sup>st</sup>, 2023. Those rules are conditioned upon there being a statewide declaration of emergency and with that being rescinded moving forward that will prevent the Board's ability from using those flexible rules after February 2023. He noted that there is some ambiguity in the statute about whether it is possible to continue meeting remotely under those rules of state and local health officials are continuing to recommend social distancing. If that is the case, beginning after or on March 1st and there will be two (2) ways in which the Board can utilize meetings. The first will be just the traditional rules that have been in place before the pandemic and as a reminder, those allow any of the directors to participate from a remote location as long as that remote location is accessible to the public and listed on the agenda. Directors may benefit from establishing satellite meeting locations and DCA staff will reach out to the various member agencies to work through the logistics. In addition, AB 2449 does permit calling in from a remote location which is not accessible to the public or listed on the agenda in certain instances. In particular, you have to satisfy either a just cause or an emergency standard which are both defined in the statute. Emergencies are effectively medical emergencies and just causes include childcare needs, a medical emergency, or traveling for DCA business. There are some practical limitations on attending from remote locations under the statute. First there are limits on the numerical number of times you can utilize the exceptions depending on which one you're using. Second, if any single

director is calling in from a remote location that's not accessible to the public a quorum of the entire Board needs to be in the same physical location, so for the DCA purposes four (4) of the Board members would always need to be in person in the DCA Boardroom. In addition to that there are requirements to identify anyone over the age of 18 who may be in the room with you when you're calling in from a row location as well as the general discussion of your relationship to that person. The DCA does anticipate bringing in a more detailed item for discussion to the Board at a future meeting in advance of February.

**b. Treasurer's Report**

DCA Treasurer, Ms. Katano Kasaine, stated that two written reports were provided in the Board package. She informed the Board that the reports cover July, August, and September of 2022. At the end of September, the disbursements totaled approximately \$3.4M and the ending cash balance was \$448,273. Year to date actual expenses were \$2.5M lower than the budget.

Director Cheng inquired about if there are any long-term investments that the DCA is making with the cash on hand.

Ms. Kasaine informed the Board that there typically are not a lot of funds left over to invest as DCO provides the funds and it is utilized to pay bills.

**c. Verbal Reports**

None.

**9. FUTURE AGENDA ITEMS:**

None.

**10. ADJOURNMENT:**

President Palmer adjourned the meeting at 2:55 p.m., remotely-Conference Access Information:

Phone Number: (916) 262-7278, Code: 1468030055#, <https://meetings.ringcentral.com/j/1468030055>

BOARD OF DIRECTORS MEETING

# MINUTES

SPECIAL MEETING

Friday, November 18, 2022

2:00 p.m.

(Paragraph numbers coincide with agenda item numbers)

**1. CALL TO ORDER**

The special meeting of the Delta Conveyance Design and Construction Authority (DCA) Board of Directors was called to order remotely - Conference Access Information: Phone Number: (916) 262-7278, Code: 1478438494#, <https://meetings.ringcentral.com/j/1478438494> at 2:00 p.m.

**2. ROLL CALL**

Board members in remote attendance were Sarah Palmer, Martin Milobar, Gary Martin, Richard Atwater, Robert Cheng, and Adnan Anabtawi, constituting a quorum of the Board.

Alternate Director in remote attendance was John Weed; the Board Clerk captured his attendance for the record.

DCA staff members in attendance were Graham Bradner and Joshua Nelson.

**3. PLEDGE OF ALLEGIANCE**

President Sarah Palmer convened the open session at approximately 2:03 p.m. and led all present in reciting the Pledge of Allegiance.

**4. PUBLIC COMMENT**

President Palmer opened Public Comment. There were no public comment requests received, therefore, the comment period was closed.

**5. DISCUSSION ITEMS:**

- a) **Approve Resolution No. 2021-08 Authorizing Virtual Board and Committee Meetings Pursuant to AB 361**

*Approve by Motion*

Recommendation:      Approve Resolution No. 2021-08 Authorizing Virtual Board and Committee Meetings Pursuant to AB 361

Motion to Approve Resolution No. 2021-08 Authorizing Virtual Board and Committee Meetings  
Pursuant to AB 361, as

Noted:	Martin
Second:	Anabtawi
Yeas:	Palmer, Milobar, Martin, Atwater, Cheng, Anabtawi
Nays:	None
Abstains:	None
Recusals:	None
Absent:	Estremera
Summary:	6 Yeas; 0 Nays; 0 Abstain; 1 Absent. (Motion passed as Resolution 21-08-16).

**6. ADJOURNMENT:**

President Palmer adjourned the meeting at 2:08 p.m., remotely - Conference Access Information: Phone Number: (916) 262-7278, Code: 1478438494#, <https://meetings.ringcentral.com/j/1478438494>

## Board Memo

**Contacts:** Josh Nelson, General Counsel

**Date:** December 15, 2022, Board Meeting

**Item No. 6a**

**Subject:**

Extend Resolution 2021-08 for Thirty Days to Re-Authorize Virtual Public Meetings in Accordance with AB 361.

**General:**

At the November 2021 Board Meeting, the Board adopted Resolution 2021-08, authorizing virtual public meetings in accordance with Assembly Bill (AB) 361. Meeting virtually helps contain the spread of the COVID-19 virus and keeps the Board, staff and the public safe.

Pursuant to AB 361, once a resolution is adopted, a local agency may meet virtually for a maximum period of 30 days. Prior to expiration of the 30 -day period, the local agency needs to renew its resolution if the Board would like to continue meeting virtually or in a hybrid format.

In accordance with Resolution 2021-08, the Board of Directors may extend the application of this Resolution, by motion and majority vote by up to thirty days at a time, if it makes all necessary findings consistent with and pursuant to the requirements of Section 54953(e)(3). This item would extend Resolution 2021-08 for thirty days given the continued need to meet remotely in response to the COVID-19 pandemic. As set forth in the resolution, State and local officials have recommended social distancing and meeting in person presents imminent risks to the health and safety of attendees.

**Recommended Action:**

By motion, extend Resolution 2021-08 for thirty days to re-authorize virtual public meetings in accordance with AB 361.

**Attachments:**

Resolution 21-08

**BOARD OF DIRECTORS OF THE DELTA CONVEYANCE DESIGN AND CONSTRUCTION AUTHORITY**  
**RESOLUTION NO. 21-08**

**Introduced by Director: Sarah Palmer**

**Seconded by Director: Tony Estremera**

***AUTHORIZING VIRTUAL BOARD AND COMMITTEE MEETINGS PURSUANT TO AB 361***

Whereas, the Delta Conveyance Design and Construction Authority (DCA) is committed to preserving and nurturing public access and participation in meetings of the Board of Directors; and

Whereas, all meetings of DCA's legislative bodies are open and public, as required by the Ralph M. Brown Act (Cal. Gov. Code 54950 – 54963), so that any member of the public may attend and participate in DCA's meetings; and

Whereas, starting in March 2020, in response to the spread of COVID-19 in the State of California, the Governor issued several executive orders aimed at containing the COVID-19 virus; and

Whereas, among other things, these orders waived certain requirements of the Brown Act to allow legislative bodies to meet virtually; and

Whereas, pursuant to the Governor's executive orders, DCA has been holding virtual meetings during the pandemic in the interest of protecting the health and safety of the public, staff, and Directors; and

Whereas, the Governor's executive order related to the suspension of certain provisions of the Brown Act expired on September 30, 2021; and

Whereas, on September 16, 2021 the Governor signed AB 361 (in effect as of October 1, 2021 – Government Code Section 54953(e)), which allows legislative bodies to meet virtually provided there is a state of emergency, and either (1) state or local officials have imposed or recommended measures to promote social distancing; or (2) the legislative body determines by majority vote that meeting in person would present imminent risks to the health and safety of attendees; and

Whereas, such conditions now exist in the DCA, specifically, a state of emergency has been proclaimed related to COVID-19, Sacramento County officials are imposing and recommending measures to promote social distancing, and because of the ongoing threat of COVID-19, meeting in person would present imminent risks to the health and safety of attendees;

Now, therefore, be it resolved by the DCA Board of Directors as follows:

Section 1. Recitals. The Recitals set forth above are true and correct and are incorporated into this Resolution by this reference.

Section 2. Remote Teleconference Meetings. Consistent with the provisions of Government Code Section 54953(e), the Board of Directors finds and determines that (1) a state of emergency related to COVID-19 is currently in effect; (2) local officials in Sacramento County have imposed or recommended measures to promote social distancing in connection with COVID-19, including indoor mask requirements; and (3) due to the COVID-19 emergency, meeting in person would present imminent risks to the health and safety of attendees. Based on such facts, findings and determinations, the Board authorizes staff to conduct remote teleconference meetings of the Board of Directors, the Stakeholder Engagement Committee and all other DCA legislative bodies, under the provisions of Government Code Section 54953(e).

Section 3. Effective Date of Resolution. This Resolution shall take effect upon its adoption, and shall be effective for 30 days or until this Resolution is extended by a majority vote of the Board of Directors in accordance with Section 4 of this Resolution.

Section 4. Extension by Motion. The Board of Directors may extend the application of this Resolution by motion and majority vote by up to thirty days at a time, provided that it makes all necessary findings consistent with and pursuant to the requirements of Section 54953(e)(3).

\* \* \* \* \*

This Resolution was passed and adopted this 18<sup>th</sup> day of November, 2021, by the following vote:

Ayes:7

Noes:0

Absent:0

Abstain:0

Attest:

DocuSigned by:

*Richard Atwater*

12/15/2021

0282CDAE01D7427...

Richard Atwater, Board President

DocuSigned by:

*Martin Milobar*

12/15/2021

CC3A6997DF1F4B7...

Martin Milobar, Board Secretary





**DCA**

DELTA CONVEYANCE DESIGN  
& CONSTRUCTION AUTHORITY

# Monthly Board Report

*This document is fully interactive; use menus to navigate on-screen.*

**1**

SUMMARY OF  
WORK

**2**

COMMUNITY  
ENGAGEMENT

**3**

BUDGET

**4**

CONTRACTS

**5**

SCHEDULE



**Agenda Item 7a**

**DECEMBER 2022**

(ACTIVITIES IN NOVEMBER)

# Section 1 | Work Performed (November 2022 Activities)

**Program Management.** The team continued program-wide support activities and implementation of the Program Management Information System.

- Continued processing invoices for FY21/22 and FY22/23
- Processed internal \$300K invoice to increase cashflow reserve from \$500K to \$800K
- Development and processing of Task Orders, Task Order Amendments and Purchase Orders for FY22/23
- Processing closeout of FY21/22 Task Orders and Purchase Orders
- Development of Funding Management in e-Builder
- Support vendors with invoice submission and contract amendment requests
- Continue development of SOPs
- Support contract managers with financial forecasting and cashflow projections
- Continue development of master programmatic schedule
- Continue implementation of organizational growth and transition activities

**Administration.** The Administrative team continued to support functions including IT support, in-person and virtual meetings, Social Media content/updates as well as COVID-19 facility response/preparedness.

- Supported DCA Board of Directors and coordination of DCA events

- Coordination of DCA Change Board meetings/actions
- DCA Website review and updates
- Pushed DCA updates via Social Media Outlets, created reminders about resource materials
- Supported Procurement activities for Risk Management Software
- Activities for the transition from RingCentral to Zoom Video Conferencing/VoIP platform

**Engineering.** The engineering team primarily focused on supporting the Department of Water Resources (DWR) Delta Conveyance Office (DCO) permitting efforts while also continuing to develop and progress engineering studies.

- Responded to requests for information (RFIs) from DCO environmental team regarding conceptual designs, geographic information systems (GIS) features, and/or quantities
- Progressed engineering studies to document concepts for consideration during potential future design phases
- Performed review of land ownership information associated with proposed project
- Continued review of existing Delta-wide subsurface information
- Continued assisting DWR with agency and utility coordination activities
- Continued engineering support for Fall 2022 and Spring 2023 investigation programs



**Field Work.** The Field Work team continued efforts to collect data to support evaluation of Delta-wide subsurface conditions and validation of parameters assumed during development of conceptual designs.

- Geotechnical Consultant submitted revised geotechnical data package for the Spring 2022 Investigation Program
- Completed all environmental clearances required for the Fall 2022 Investigation Program
- Continued subsurface work for the Fall 2022 Investigation Program
- Continued coordination with environmental team to plan overwater borings and review permitting requirements for the Spring 2023 Investigation Program
- Continued coordination of Temporary Entrance Permits (TEPs) required for the Spring 2023 Investigation Program

# Section 1 | One Month Look-Ahead (December 2022 Activities)

## Program Management

- Continue processing invoices for FY21/22 and FY22/23
- Development and processing of Task Orders, Task Order Amendments and Purchase Orders for FY22/23
- Development of Funding Management in e-Builder
- Processing closeout of FY21/22 Task Orders and Purchase Orders
- Support vendors with invoice submission and contract amendment requests
- Continue development of SOPs
- Support contract managers with financial forecasting and cashflow projections
- Continue development of master programmatic schedule
- Continue development of implementation plan for organizational growth and transition

## Administration

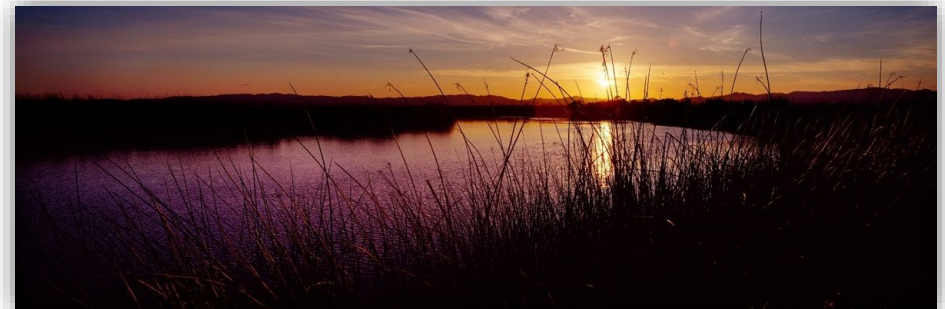
- Continue support to DCA office including all Administrative, Facility and IT functions
- Continue support for DCA Board of Directors meetings and monthly report generation
- Continue coordination of DCA Change Control Board meetings/actions
- Continue support for stakeholder engagement and outreach efforts
- Support for DCA Procurement Activities
- Continue to manage and coordinate content for Social Media outlets
- Finalize transition from RingCentral to Zoom
- Support for Risk Management Software Procurement

## Engineering

- Continue to respond to requests for clarification on conceptual designs, GIS features and/or quantities from environmental team
- Continue progressing engineering studies to document concepts for consideration during future design phases
- Complete review of land ownership information associated with proposed project in the GIS database
- Continue assisting DWR with agency and utility coordination activities
- Continue review of existing Delta-wide subsurface information
- Continue providing engineering support to the Fall 2022 and Spring 2023 Geotechnical Investigation Programs
- Begin supporting review and response to comments received on the Draft Environmental Impact Report (DEIR) during the public comment period as requested by DWR

## Field Work

- Review monthly and quarterly reports associated with cultural and biological surveys conducted during Summer and Fall 2022 Investigation Programs
- Conclude Fall 2022 Investigation Program
- Continue planning and coordination associated with Spring 2023 Investigation Program



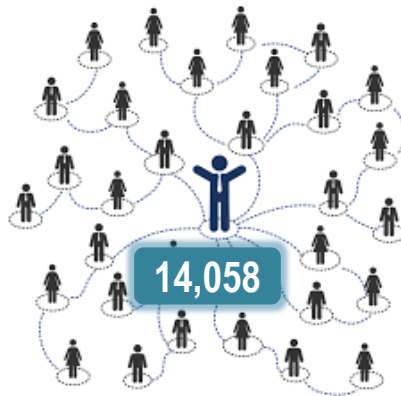
## Section 2 | Community Engagement

### DCA Social Media Updates



facebook®

- The DCA Facebook page gained 51 followers, bringing the total to 1,829 followers



- DCA Facebook content had a total daily reach of 14,058



- The What is the DCA video received 30,620 impressions



- DCA Facebook ads garnered 1.19 million impressions between August to November

## Section 3 | Budget

**Budget.** The FY22/23 DCA budget has been approved and is \$31.16M. We are currently forecasting an Estimate at Completion budget of \$31.16M. The DCA has committed \$28.85M (details in Table 2) and has incurred \$7.0M in expenditures through the end of through the end of November (details in Table 2). Actual and planned cash flow curves are shown in Figure 1.

**Table 1 | Monthly Budget Summary (FY 22/23)**

Category	Original Budget	Current Budget	Current Commitments	Incurred to Date	EAC	Variance
<b>Program Management Office</b>						
Executive Office	\$ 3,638,955	\$ 3,539,730	\$ 2,903,808	\$ 644,147	\$ 4,322,919	\$ 783,189
Community Engagement	904,160	904,160	643,276	146,126	904,160	-
Program Controls	2,062,255	2,055,615	2,055,615	773,585	2,175,615	120,000
Administration	2,721,210	2,764,468	2,810,426	1,109,276	2,757,057	(7,411)
Procurement and Contract Administration	87,175	87,172	75,744	54,830	117,172	30,000
Property	490,160	490,160	490,026	196,735	520,118	29,958
Permitting Management	589,245	589,245	589,240	152,001	589,245	-
Health and Safety	50,595	50,592	50,592	3,192	50,592	-
Quality Management	62,020	127,153	127,153	68,385	127,153	-
Sustainability	39,000	36,480	36,480	11,231	36,480	-
<b>Program Initiation Office</b>						
Engineering	12,785,225	12,785,225	12,785,213	2,437,161	11,785,225	(1,000,000)
Field Work	7,733,720	7,733,720	6,291,738	1,424,269	7,777,984	44,264
	<b>\$ 31,163,720</b>	<b>\$ 31,163,720</b>	<b>\$ 28,859,311</b>	<b>\$ 7,020,938</b>	<b>\$ 31,163,720</b>	<b>-</b>



## Section 3 | Budget *continued*

Table 2 | FY 22/23 Budget Detail

Work Breakdown Structure	Original Budget	Current Budget	Commitments	Pending Commitments	Actuals Received	Remaining Budget	% of Budget Remaining	Estimate at Completion	Variance (Surplus)/Deficit
<b>Delta Conveyance</b>	\$ 31,163,720	\$ 31,163,720	\$ 28,859,311	\$ -	\$ 7,020,938	\$ 24,142,782	23%	\$ 31,163,720	\$ -
<b>Executive Office</b>	<b>3,638,955</b>	<b>3,539,730</b>	<b>2,903,808</b>	<b>-</b>	<b>644,147</b>	<b>2,895,583</b>	<b>18%</b>	<b>4,322,919</b>	<b>783,189</b>
Management	1,751,265	1,817,161	1,789,516	-	418,845	1,398,316	23%	1,817,531	370
Legal	699,095	699,095	699,091	-	88,801	610,294	13%	599,095	(100,000)
Audit	30,000	30,000	-	-	-	30,000	0%	25,000	(5,000)
Treasury	297,115	297,115	303,836	-	92,389	204,726	31%	288,931	(8,184)
Human Resources	111,480	111,480	111,365	-	44,111	67,369	40%	111,480	-
Undefined Allowance	750,000	584,879	-	-	-	584,879	0%	1,480,882	896,003
<b>Community Engagement</b>	<b>904,160</b>	<b>904,160</b>	<b>643,276</b>	<b>-</b>	<b>146,126</b>	<b>758,034</b>	<b>16%</b>	<b>904,160</b>	<b>-</b>
Management	281,665	281,665	280,781	-	71,540	210,125	25%	281,665	-
Community Coordination	250,000	250,000	-	-	-	250,000	0%	250,000	-
Outreach	372,495	372,495	362,495	-	74,587	297,908	20%	372,495	-
<b>Program Controls</b>	<b>2,062,255</b>	<b>2,055,615</b>	<b>2,055,615</b>	<b>-</b>	<b>773,585</b>	<b>1,282,031</b>	<b>38%</b>	<b>2,175,615</b>	<b>120,000</b>
Management	525,330	524,983	524,983	-	153,279	371,704	29%	584,983	60,000
Cost Management	659,875	658,672	658,672	-	201,641	457,031	31%	658,672	-
Schedule Management	201,000	201,000	201,000	-	89,709	111,292	45%	261,000	60,000
Document Management	336,000	336,000	336,000	-	108,500	227,500	32%	336,000	-
Governance	340,050	334,960	334,960	-	220,456	114,504	66%	334,960	-
<b>Administration</b>	<b>2,721,210</b>	<b>2,764,468</b>	<b>2,810,426</b>	<b>-</b>	<b>1,109,276</b>	<b>1,655,191</b>	<b>40%</b>	<b>2,757,057</b>	<b>(7,411)</b>
Management	659,340	659,340	646,635	-	215,614	443,726	33%	659,340	-
Facilities	1,306,340	1,349,599	1,430,984	-	634,768	714,831	47%	1,349,373	(226)
Information Technology	755,530	755,529	732,807	-	258,895	496,634	34%	748,343	(7,186)
<b>Procurement and Contract Administration</b>	<b>87,175</b>	<b>87,172</b>	<b>75,744</b>	<b>-</b>	<b>54,830</b>	<b>32,342</b>	<b>63%</b>	<b>117,172</b>	<b>30,000</b>
Procurement Management	87,175	87,172	75,744	-	54,830	32,342	63%	117,172	30,000

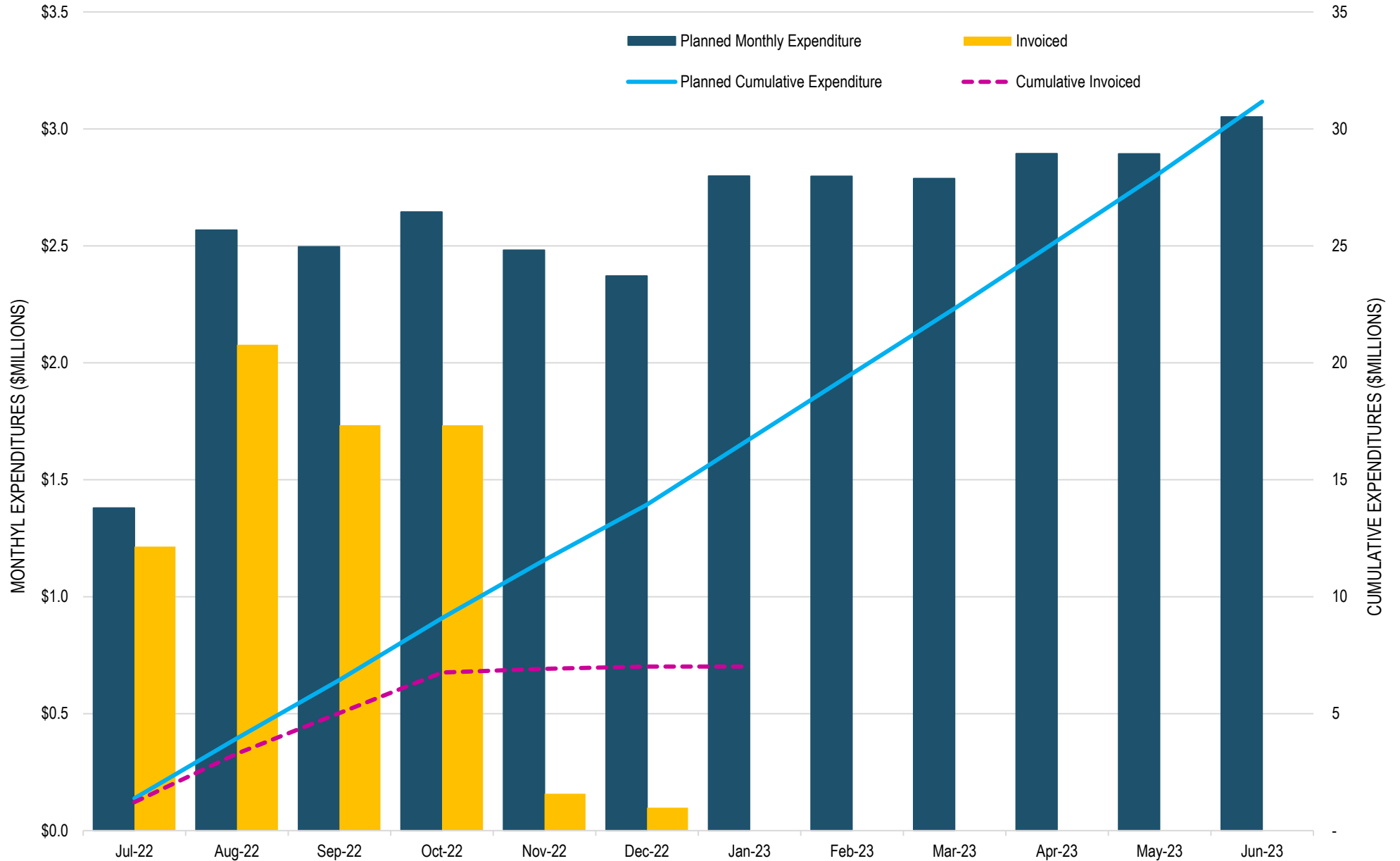
## Section 3 | Budget *continued*

**Table 2 | FY 22/23 Budget Detail**

Work Breakdown Structure	Original Budget	Current Budget	Commitments	Pending Commitments	Actuals Received	Remaining Budget	% of Budget Remaining	Estimate at Completion	Variance (Surplus)/Deficit
<b>Property</b>	<b>490,160</b>	<b>490,160</b>	<b>490,026</b>	<b>-</b>	<b>196,735</b>	<b>293,425</b>	<b>40%</b>	<b>520,118</b>	<b>29,958</b>
Management	140,160	140,160	140,160	-	61,681	78,479	44%	140,160	-
Property Agents	300,000	300,000	299,866	-	128,554	171,446	43%	329,958	29,958
Temporary Entrance Permits	50,000	50,000	50,000	-	6,500	43,500	13%	50,000	-
<b>Permitting Management</b>	<b>589,245</b>	<b>589,245</b>	<b>589,240</b>	<b>-</b>	<b>152,001</b>	<b>437,244</b>	<b>26%</b>	<b>589,245</b>	<b>-</b>
Management	589,245	589,245	589,240	-	152,001	437,244	26%	589,245	-
<b>Health and Safety</b>	<b>50,595</b>	<b>50,592</b>	<b>50,592</b>	<b>-</b>	<b>3,192</b>	<b>47,400</b>	<b>6%</b>	<b>50,592</b>	<b>-</b>
Management	50,595	50,592	50,592	-	3,192	47,400	6%	50,592	-
<b>Quality Management</b>	<b>62,020</b>	<b>127,153</b>	<b>127,153</b>	<b>-</b>	<b>68,385</b>	<b>58,768</b>	<b>54%</b>	<b>127,153</b>	<b>-</b>
Management & Auditing	62,020	127,153	127,153	-	68,385	58,768	54%	127,153	-
<b>Sustainability</b>	<b>39,000</b>	<b>36,480</b>	<b>36,480</b>	<b>-</b>	<b>11,231</b>	<b>25,249</b>	<b>31%</b>	<b>36,480</b>	<b>-</b>
Management	39,000	36,480	36,480	-	11,231	25,249	31%	36,480	-
<b>Engineering</b>	<b>12,785,225</b>	<b>12,785,225</b>	<b>12,785,213</b>	<b>-</b>	<b>2,437,161</b>	<b>10,348,064</b>	<b>19%</b>	<b>11,785,225</b>	<b>(1,000,000)</b>
Management & Administration	997,695	997,695	997,691	-	328,580	669,115	33%	997,695	-
CEQA Engineering Support	2,555,065	2,555,065	2,555,058	-	160,172	2,394,893	6%	2,555,065	-
Facility Studies	7,448,095	7,448,095	7,448,095	-	1,943,909	5,504,186	26%	6,448,095	(1,000,000)
Project Definition Reports	1,784,370	1,784,370	1,784,370	-	4,500	1,779,870	0%	1,784,370	-
<b>Fieldwork</b>	<b>7,733,720</b>	<b>7,733,720</b>	<b>6,291,738</b>	<b>-</b>	<b>1,424,269</b>	<b>6,309,451</b>	<b>18%</b>	<b>7,777,984</b>	<b>44,264</b>
Management	1,349,355	1,349,355	784,300	-	165,263	1,184,092	12%	1,393,619	44,264
Geotechnical Work	5,711,380	5,711,380	4,834,453	-	1,059,127	4,652,253	19%	5,711,380	-
Environmental Monitoring	672,985	672,985	672,985	-	199,879	473,106	30%	672,985	-

## Section 3 | Budget *continued*

Figure 1 | FY 22/23 Cash Flow





## Section 4 | Contracts

**Contracts.** Table 3 summarizes the status of all active commitments within the DCA for the current fiscal year. Any pending or approved commitment changes

are summarized in Table 4. SBE/DVBE participation in major contracts is summarized in Table 5. Currently, there are is one active procurement.

**Table 3 | Contract Summary**

Contract Description	Commitment Amount	Pending Commitments	Invoiced to Date	Percent Invoiced
180005 - e-Builder, Inc.	\$ 132,250	- \$	132,250	100%
180006 - Jacobs Engineering Group	\$ 14,277,613	- \$	2,800,563	20%
180008 - Hamner, Jewell & Associates	\$ 39,972	- \$	45	0%
180009 - Bender Rosenthal, Inc.	\$ 239,958	- \$	127,160	53%
180010 - Associated Right of Way Services, Inc.	\$ 19,936	- \$	1,348	7%
190005 - Baker Tilly US, LLP	\$ 549,000	- \$	178,418	32%
190009 - Parsons Transportation Group, Inc.	\$ 4,607,457	- \$	1,485,685	32%
190011 - GV/HI Park Tower Owner, LLC	\$ 1,204,972	- \$	589,518	49%
190014 - Direct Apps, Inc., DBA Launch Consulting	\$ 241,434	- \$	58,354	24%
190015 - AM-SPL LLC	\$ 15,128	- \$	10,128	67%
190019 - VMA Communications, Inc.	\$ 232,600	- \$	68,700	30%
190021 - RingCentral	\$ 19,354	- \$	19,354	100%
190022 - Caltronics Government Services	\$ 96,312	- \$	9,492	10%
190023 - Jambo LP	\$ 34,920	- \$	-	0%

## Section 4 | Contracts *continued*

**Table 3 | Contract Summary**

Contract Description	Commitment Amount	Pending Commitments	Invoiced to Date	Percent Invoiced
200003 - Best Best & Krieger	\$ 699,091	- \$	88,801	13%
200013 - Metropolitan Water District of S. California	\$ 474,110	- \$	111,874	24%
200014 - Dept of Water Resources	\$ 52,000	- \$	6,880	13%
210018 - AECOM Technical Services	\$ 5,283,033	- \$	1,104,827	21%
210019 - Santa Clara Valley Water	\$ 213,004	- \$	108,221	51%
220002 - Gwendolyn Buchholz, Permit Engineer Inc	\$ 150,000	- \$	54,875	37%
220005 - Keogh Multimedia	\$ 15,600	- \$	585	4%
220009 - Liberty mutual	\$ 50,000	- \$	34,816	70%
220014 - onPar Advisors LLC	\$ 77,371	- \$	-	0%
220015 - Consolidated Communications, Inc.	\$ 115,567	- \$	15,505	13%
Agreements <\$15k	\$ 18,631	- \$	13,539	73%

**Table 4 | Commitment Changes**

WBS Description	Current Budget	Amount	% Change	Revised Budget	Description	Budget Source	Status (Pending, Approved)
Video Conferencing Platform	\$ 19,354	\$ 3,868	17%	\$ 23,222	Execute an amendment to allow for additional time and budget for RingCentral to complete transition	Undefined Allowance	Approved

## Section 4 | Contracts *continued*

Table 5 | S/DVBE Status (FY 22/23)

	Commitment Amount	Invoiced to Date	Percent Committed	Percent Invoiced
<b>Delta Conveyance</b>	<b>\$ 28,859,311</b>	<b>\$ 7,020,938</b>		
<b>SBE Participation</b>	<b>1,900,477</b>	<b>679,320</b>	<b>6.6%</b>	<b>9.7%</b>
<b>DVBE Participation</b>	<b>\$ 125,000</b>	<b>\$ 61,681</b>	<b>0.4%</b>	<b>0.9%</b>

Consultant	Current Commitment	Percent of Total Commitment	Invoiced to Date	Percent Invoiced SBE/DVBE	SBE/DVBE Status
<b>Jacobs Engineering Group</b>	<b>14,277,613</b>	<b>1.9%</b>	<b>2,800,563</b>	<b>4.8%</b>	
Anchor	125,000	0.9%	61,681	2.2%	SBE/DVBE
JMA	50,000	0.4%	33,154	1.2%	SBE
JASpezia	100,000	0.7%	39,912	1.4%	SBE
<b>Parsons</b>	<b>4,607,457</b>	<b>26.8%</b>	<b>1,485,685</b>	<b>31.3%</b>	
Chaves	1,171,520	25.4%	400,402	27.0%	SBE
Luster	65,137	1.4%	64,585	4.3%	SBE
<b>Associated Right of Way Services</b>	<b>19,936</b>	<b>100%</b>	<b>1,348</b>	<b>100%</b>	<b>SBE</b>
<b>Caltronics Government Services</b>	<b>96,312</b>	<b>100%</b>	<b>9,492</b>	<b>100%</b>	<b>SBE</b>
<b>Hamner, Jewell &amp; Associates</b>	<b>39,972</b>	<b>100%</b>	<b>45</b>	<b>100%</b>	<b>SBE</b>
<b>VMA Communications</b>	<b>232,600</b>	<b>100%</b>	<b>68,700</b>	<b>100%</b>	<b>SBE</b>

Table 6 | Contract Procurement Summary

WBS	Contract Type	Planning/ Estimated Value	Annual Budget (FY 2022/23)	Pending Contract Value	Anticipated Term	Procurement Method	Procurement Start	Target NTP Date	Status
Risk Management Software	SaaS Agreement	\$ 500,000	\$60,000	TBD	3 year	Qualifications Based Selection	Dec-22	Mar-23	In Process

## Sections 3a Budget | 4a Contracts

# FISCAL YEAR 21/22 FINANCIAL REPORTS

## Section 3a | Budget

**Budget.** FY21/22 is still open to allow for completion of FY21/22 task orders extended beyond the end of the FY. The total FY21/22 budget and has been revised to \$21.36M (see Table 1a), since \$3.11M was transferred to fund the approved FY22/23 budget. We are currently in the process of closing out FY21/22 Task Orders and purchase orders and forecasting an Estimate at Completion of \$18.14M. The DCA has committed \$20.06M and has incurred \$18.05M in expenditures through the end of November (details in Table 2a). The commitments have underrun not to exceed values and there is one remaining active commitment. A second internal invoice to increase cashflow reserve by \$300,000 from \$500,000 to \$800,000 has been processed against the undefined allowance budget.

Table 1a | Monthly Budget Summary (FY 21/22)

Category	Original Budget	Current Budget	Current Commitments	Incurred to Date	EAC	Variance
<b>Program Management Office</b>						
Executive Office	\$ 2,202,245	\$ 4,271,146	\$ 3,034,952	\$ 2,569,604	\$ 2,610,009	\$ (1,661,137)
Community Engagement	775,305	775,305	566,971	410,452	402,361	(372,944)
Program Controls	1,472,640	1,565,135	1,532,748	1,464,226	1,486,691	(78,445)
Administration	2,568,238	2,635,238	2,441,121	2,308,789	2,324,549	(310,689)
Procurement and Contract Administration	74,112	74,112	148,308	126,668	125,173	51,061
Property	516,280	393,640	370,185	347,655	307,945	(85,695)
Permitting Management	560,534	560,534	569,191	557,081	557,423	(3,111)
Health and Safety	21,600	21,600	13,600	11,350	11,350	(10,250)
Quality Management	14,016	14,016	34,016	32,340	32,340	18,324
<b>Program Initiation Office</b>						
Engineering	7,617,456	7,740,096	8,370,365	7,867,884	7,868,719	128,623
Fieldwork	5,677,574	3,313,854	2,983,446	2,355,485	2,419,055	(894,799)
	<b>\$ 21,500,000</b>	<b>\$ 21,364,676</b>	<b>\$ 20,064,902</b>	<b>\$ 18,051,533</b>	<b>\$ 18,145,614</b>	<b>\$ (3,219,062)</b>

## Section 3a | Budget *continued*

Table 2a | FY 21/22 Budget Detail

Work Breakdown Structure	Original Budget	Current Budget	Commitments	Pending Commitments	Actuals Received	Remaining Budget	% of Budget Remaining	Estimate at Completion	Variance (Surplus)/Deficit
<b>Delta Conveyance</b>	<b>\$ 21,500,000</b>	<b>\$ 21,364,676</b>	<b>\$ 20,064,902</b>	<b>\$ -</b>	<b>\$ 18,051,533</b>	<b>\$ 3,313,142</b>	<b>84%</b>	<b>\$ 18,145,614</b>	<b>\$ (3,219,062)</b>
<b>Executive Office</b>	<b>2,202,245</b>	<b>4,271,146</b>	<b>3,034,952</b>	<b>-</b>	<b>2,569,604</b>	<b>1,701,541</b>	<b>60%</b>	<b>2,610,009</b>	<b>(1,661,137)</b>
Management	87,884	1,272,884	1,315,400	-	1,269,810	3,074	100%	1,275,729	2,845
Legal	556,915	556,915	556,915	-	199,733	357,182	36%	210,518	(346,397)
Audit	30,000	30,000	25,000	-	25,000	5,000	83%	25,000	(5,000)
Treasury	254,726	254,726	249,916	-	214,268	40,458	84%	249,916	(4,810)
Human Resources	87,720	87,720	87,720	-	60,793	26,927	69%	48,846	(38,874)
Undefined Allowance	1,185,000	2,068,901	800,000	-	800,000	1,268,901	39%	800,000	(1,268,901)
<b>Community Engagement</b>	<b>775,305</b>	<b>775,305</b>	<b>566,971</b>	<b>-</b>	<b>410,452</b>	<b>364,853</b>	<b>53%</b>	<b>402,361</b>	<b>(372,944)</b>
Management	118,877	118,877	170,583	-	148,577	(29,700)	125%	140,486	21,609
Community Coordination	250,000	250,000	-	-	-	250,000	0%	-	(250,000)
Outreach	406,428	406,428	396,388	-	261,875	144,553	64%	261,875	(144,553)
<b>Program Controls</b>	<b>1,472,640</b>	<b>1,565,135</b>	<b>1,532,748</b>	<b>-</b>	<b>1,464,226</b>	<b>100,909</b>	<b>94%</b>	<b>1,486,691</b>	<b>(78,445)</b>
Management	387,840	387,840	379,590	-	343,035	44,805	88%	343,035	(44,805)
Cost Management	754,560	754,560	733,359	-	710,894	43,666	94%	733,359	(21,201)
Document Management	330,240	330,240	292,304	-	283,754	46,486	86%	283,754	(46,486)
Governance	-	92,495	127,495	-	126,543	(34,048)	137%	126,543	34,048
<b>Administration</b>	<b>2,568,238</b>	<b>2,635,238</b>	<b>2,441,121</b>	<b>-</b>	<b>2,308,789</b>	<b>326,449</b>	<b>88%</b>	<b>2,324,549</b>	<b>(310,689)</b>
Management	641,280	641,280	609,964	-	575,422	65,858	90%	575,422	(65,858)
Facilities	1,283,297	1,285,297	1,272,848	-	1,216,242	69,055	95%	1,205,048	(80,249)
Information Technology	643,661	708,661	558,310	-	517,125	191,536	73%	544,080	(164,581)
<b>Procurement and Contract Administration</b>	<b>74,112</b>	<b>74,112</b>	<b>148,308</b>	<b>-</b>	<b>126,668</b>	<b>(52,556)</b>	<b>171%</b>	<b>125,173</b>	<b>51,061</b>
Procurement Management	74,112	74,112	148,308	-	126,668	(52,556)	171%	125,173	51,061

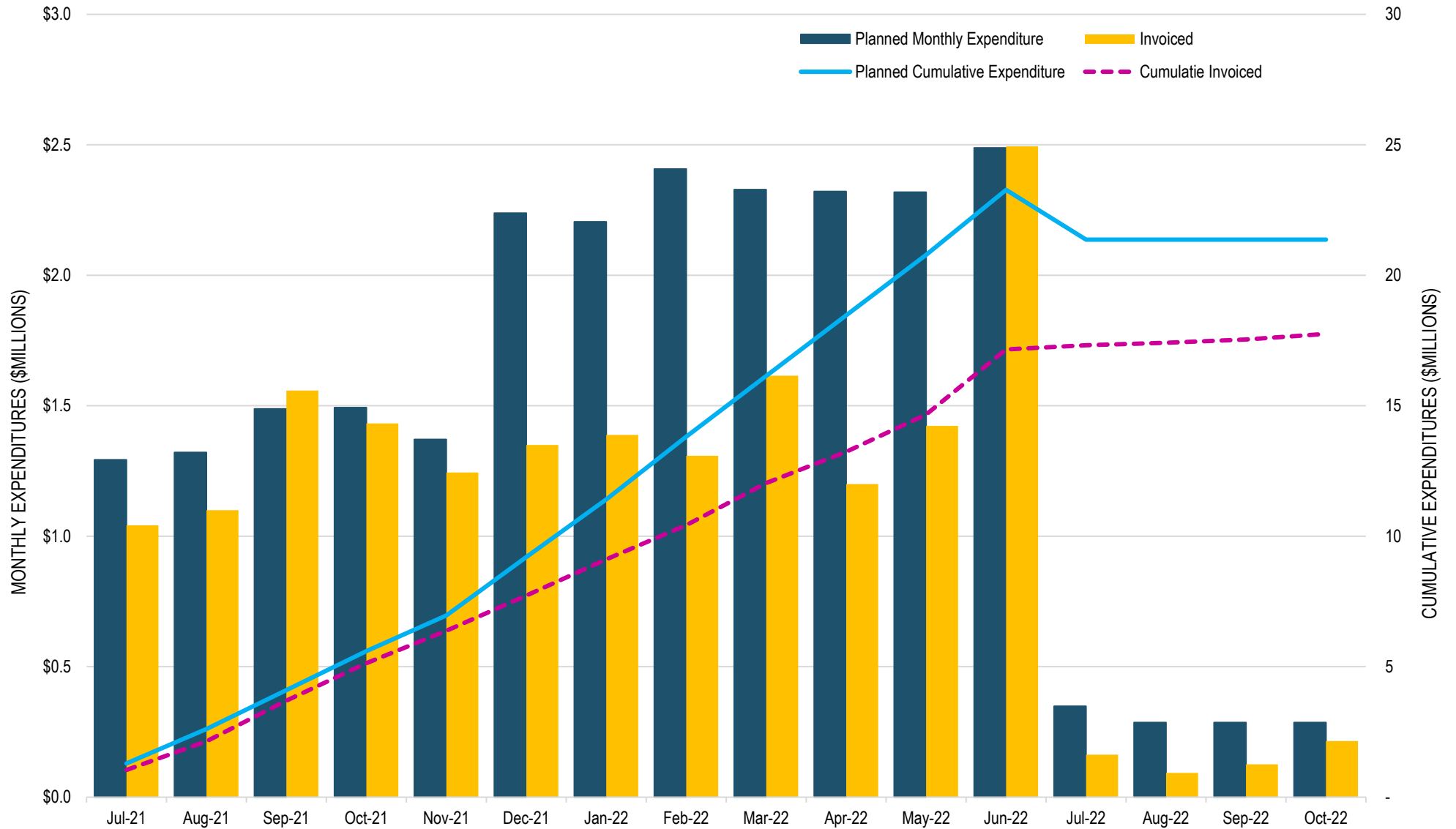
## Section 3a | Budget *continued*

**Table 2a | FY 21/22 Budget Detail**

Work Breakdown Structure	Original Budget	Current Budget	Commitments	Pending Commitments	Actuals Received	Remaining Budget	% of Budget Remaining	Estimate at Completion	Variance (Surplus)/Deficit
<b>Property</b>	<b>516,280</b>	<b>393,640</b>	<b>370,185</b>	<b>-</b>	<b>347,655</b>	<b>45,985</b>	<b>88%</b>	<b>307,945</b>	<b>(85,695)</b>
Management	245,280	122,640	81,815	-	81,815	40,825	67%	81,815	(40,825)
Property Agents	150,000	150,000	138,370	-	138,370	11,630	92%	98,660	(51,340)
Temporary Entrance Permits	121,000	121,000	150,000	-	127,470	(6,470)	105%	127,470	6,470
<b>Permitting Management</b>	<b>560,534</b>	<b>560,534</b>	<b>569,191</b>	<b>-</b>	<b>557,081</b>	<b>3,453</b>	<b>99%</b>	<b>557,423</b>	<b>(3,111)</b>
Management	560,534	560,534	569,191	-	557,081	3,453	99%	557,423	(3,111)
<b>Health and Safety</b>	<b>21,600</b>	<b>21,600</b>	<b>13,600</b>	<b>-</b>	<b>11,350</b>	<b>10,250</b>	<b>53%</b>	<b>11,350</b>	<b>(10,250)</b>
Management	21,600	21,600	13,600	-	11,350	10,250	53%	11,350	(10,250)
<b>Quality Management</b>	<b>14,016</b>	<b>14,016</b>	<b>34,016</b>	<b>-</b>	<b>32,340</b>	<b>(18,324)</b>	<b>231%</b>	<b>32,340</b>	<b>18,324</b>
Management & Auditing	14,016	14,016	34,016	-	32,340	(18,324)	231%	32,340	18,324
<b>Engineering</b>	<b>7,617,456</b>	<b>7,740,096</b>	<b>8,370,365</b>	<b>-</b>	<b>7,867,884</b>	<b>(127,788)</b>	<b>102%</b>	<b>7,868,719</b>	<b>128,623</b>
Management & Administration	900,316	1,022,956	988,755	-	867,265	155,691	85%	867,265	(155,691)
CEQA Engineering Support	4,436,666	4,436,666	2,436,723	-	2,435,205	2,001,461	55%	2,435,205	(2,001,461)
Facility Studies	2,280,474	2,280,474	4,944,887	-	4,565,415	(2,284,941)	200%	4,566,249	2,285,775
Undefined Allowance	-	-	-	-	-	-	-	-	-
<b>Fieldwork</b>	<b>5,677,574</b>	<b>3,313,854</b>	<b>2,983,446</b>	<b>-</b>	<b>2,355,485</b>	<b>958,369</b>	<b>71%</b>	<b>2,419,055</b>	<b>(894,799)</b>
Management	544,675	676,721	701,585	-	493,104	183,617	73%	583,785	(92,936)
Geotechnical Work	4,548,000	2,052,234	1,965,557	-	1,600,300	451,935	78%	1,573,189	(479,046)
Environmental Monitoring	584,899	584,899	316,303	-	262,082	322,817	45%	262,082	(322,817)

## Section 3a | Budget *continued*

Figure 1a | FY 21/22 Cash Flow





## Section 4a | Contracts

**Contracts.** Table 3a summarizes the status of all commitments within the DCA for FY21/22.

**Table 3a | FY 21/22 Contract Summary**

Contract Description	Commitment Amount	Pending Commitments	Invoiced to Date	Percent Invoiced
180005 - e-Builder, Inc.	\$ 86,285	- \$	86,285	100%
180006 - Jacobs Engineering Group	\$ 9,266,843	- \$	8,668,565	94%
180009 - Bender Rosenthal, Inc.	\$ 129,629	- \$	129,629	100%
190005 - Baker Tilly US, LLP	\$ 567,800	- \$	536,398	94%
190009 - Parsons Transportation Group, Inc.	\$ 3,529,094	- \$	3,395,459	96%
190011 - GV/HI Park Tower Owner, LLC	\$ 1,144,787	- \$	1,088,984	95%
190014 - Direct Apps, Inc., DBA Launch Consulting	\$ 164,224	- \$	142,987	87%
190015 - AM-SPL	\$ 123,368	- \$	117,650	95%
190016 - Consolidated Communications, Inc.	\$ 37,185	- \$	37,185	100%
190017 - AT&T	\$ 23,651	- \$	23,651	100%
190019 - VMA Communications, Inc.	\$ 282,400	- \$	197,953	70%
190021 - RingCentral	\$ 45,816	- \$	45,813	100%
190022 - Caltronics Government Services	\$ 22,717	- \$	22,717	100%
190023 - Jambo LP	\$ 22,000	- \$	20,370	93%

## Section 4a | Contracts *continued*

**Table 3a | FY 21/22 Contract Summary**

Contract Description	Commitment Amount	Pending Commitments	Invoiced to Date	Percent Invoiced
190024 - Stakeholder Engagement Committee Stipened	\$ 17,000	- \$	5,750	34%
200003 - Best Best & Krieger	\$ 556,915	- \$	199,733	36%
200013 - Metropolitan Water District of S. California	\$ 338,712	- \$	240,328	71%
200014 - Dept of Water Resources	\$ 152,000	- \$	127,790	84%
210005 - Alliant Insurance	\$ 26,190	- \$	26,190	100%
210006 - KPMG LLP	\$ 25,000	- \$	25,000	100%
210010 - Gwendolyn Buchholz, Permit Engineer Inc	\$ 150,000	- \$	150,000	100%
210014 - Spark Street Digital	\$ 32,600	- \$	10,879	33%
210018 - AECOM Technical Services	\$ 2,337,789	- \$	1,788,931	77%
210019 - Santa Clara Valley Water	\$ 109,368	- \$	104,383	95%
210026 - Gwendolyn Buchholz, Permit Engineer Inc	\$ 30,000	- \$	19,750	66%
Agreements <\$15K	\$ 43,530	- \$	39,154	90%

## Section 5 | Program Schedule

**Schedule.** The program support activities of the Program Management Office (PMO) continued as planned. The Engineering Team continued providing permitting support to the DCO and progressing supplemental concept validation studies. The team also continued to host regular meetings with DCO environmental and engineering teams to review the current status of ongoing studies and activities. The fieldwork team continued implementation of the Fall 2022 Geotechnical Investigation Program and planning for the Spring 2023 Program.



Disclaimer: This Program Sequence is for discussion purposes only and does not represent a decision by the DCA or DWR. Final decisions about the project will be made by DWR and will NOT be made until the concluding stages of the CEQA process.

## Board Memo

**Contacts:** Graham Bradner, Executive Director  
Brianne Wiese, KPMG Managing Director - Audit

**Date:** December 15, 2022 Board Meeting

**Item No. 7c**

**Subject:**  
DCA FY 2021/22 Financial Annual Audit Report

**General:**

The DCA's auditors have completed their draft Fiscal Year 2021/22 Audit Report. This report will be presented to the Board at the upcoming Meeting.

**Recommended Action:**

Receive the DCA's Fiscal Year 2021/22 Audit.

**Attachments:**

Attachment 1 – FY 2021/22 Financial Audit Report  
Attachment 2 – Financial Audit Results Presentation

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**DELTA CONVEYANCE DESIGN AND CONSTRUCTION  
JOINT POWERS AUTHORITY**

Statements of Cash Receipts and Disbursements  
(Cash Basis of Accounting)

Years Ended June 30, 2022 and 2021

(With Independent Auditors' Report Thereon)

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION  
JOINT POWERS AUTHORITY**

June 30, 2022 and 2021

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## **Independent Auditors' Report**

The Members of the  
Delta Conveyance Design and Construction Joint Powers Authority:

### **Report on the Audit of the Financial Statements**

#### *Opinion*

We have audited the statements of cash receipts and disbursements of the Delta Conveyance Design and Construction Joint Powers Authority (the Authority), for the years ended June 30, 2022 and 2021, and the related notes to the statements of cash receipts and disbursements (the financial statements).

In our opinion, the accompanying financial statements present fairly, in all material respects, the cash receipts and disbursements of the Authority for the years ended June 30, 2022 and 2021, in accordance with the cash basis of accounting described in Note 1.

#### *Basis for Opinion*

We conducted our audits in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Authority, and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

#### *Emphasis of Matter — Basis of Accounting*

We draw attention to Note 1 of the financial statements, which describes the basis of accounting. The financial statements are prepared on the cash basis of accounting, which is a basis of accounting other than U.S. generally accepted accounting principles. Our opinion is not modified with respect to this matter.

#### *Responsibilities of Management for the Financial Statements*

Management is responsible for the preparation and fair presentation of the financial statements in accordance with the cash basis of accounting described in Note 1, and for determining that the cash basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of the financial statements that are free from material misstatement, whether due to fraud or error.

#### *Auditors' Responsibilities for the Audit of the Financial Statements*

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Authority's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control related matters that we identified during the audit.

*Supplementary Information*

Our audit was conducted for the purpose of forming an opinion on the financial statements. The supplementary information is presented for purposes of additional analysis and is not a required part of the financial statements. The supplementary information has not been subjected to the auditing procedures applied in the audit of the financial statements, and accordingly, we do not express an opinion or provide any assurance on it.

[(signed) KPMG LLP]

Los Angeles, California  
December \_\_, 2022



**DELTA CONVEYANCE DESIGN AND CONSTRUCTION  
JOINT POWERS AUTHORITY**

Statements of Cash Receipts and Disbursements  
(Cash Basis of Accounting)

For the years ended June 30, 2022 and 2021

	<u>2022</u>	<u>2021</u>
Receipts:		
Contributions	\$ 17,761,426	32,383,371
Disbursements:		
Environmental planning and design:		
Program management	1,778,882	2,353,193
Stakeholder engagement	470,072	1,172,888
Project controls	1,375,931	2,691,129
Office administration	2,301,619	3,779,855
Procurement	100,933	—
Property access and acquisition	408,798	557,895
Permitting management	609,927	798,706
Health and safety	675	11,700
Quality management	2,340	5,621
Engineering	8,294,074	17,414,965
Fieldwork	1,913,917	3,625,932
Total disbursements	<u>17,257,168</u>	<u>32,411,884</u>
Nonoperating expenses:		
DCA return of stand-up costs	<u>800,000</u>	<u>—</u>
Net change in cash	(295,742)	(28,513)
Cash at beginning of year	<u>694,727</u>	<u>723,240</u>
Cash at end of year	<u>\$ 398,985</u>	<u>694,727</u>

See accompanying notes to statements of cash receipts and disbursements.

## DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Notes to Statements of Cash Receipts and Disbursements

Years ended June 30, 2022 and 2021

### (1) Reporting Entity and Summary of Significant Accounting Policies

The Delta Conveyance Design and Construction Joint Powers Authority (the Authority) is a separate governmental entity composed of participating State Water Project Contractors (SWC) formed on May 14, 2018. The Authority's original purpose under the Amended and Restated Joint Exercise of Powers Agreement (JEPA) was to assist the California Department of Water Resources (DWR) in the design and construction of a new Delta water conveyance facilities, also known as California WaterFix (CWF), to be owned and operated by DWR. The CWF would convey water from the Sacramento River north of the Delta directly to the existing State Water Project (SWP) and Central Valley Project (CVP) pumping plants located in the south Delta. The purposes of the CWF were to make physical and operational improvement to the SWP and the CVP necessary to protect and maintain the Sacramento-San Joaquin Delta (Delta) ecosystem health, maintain water quality, and restore and protect water supplies for the more than 25 million people and 2 million acres of highly productive farm land that currently depend upon the water conveyed through the Delta. With the State's new water policy for California and the withdrawal of CWF, the Authority's purpose was changed to provide services to DWR in support of the new planning and environmental work during the Planning Phase as stated on Amendment No. 1 of the JEPA for a potential Delta Conveyance Project.

By means of the Amended and Restated Joint Powers Agreement (the Agreement) effective December 31, 2020, the participating SWC, which include the Alameda County Flood Control Zone 7 Water District (Zone 7), Alameda County Water District, Antelope Valley-East Kern Water Agency, Coachella Valley Water District, Crestline-Lake Arrowhead Water Agency, Desert Water Agency, Dudley Ridge Water District, Kern County Water Agency, Mojave Water Agency, Palmdale Water District, Santa Clara Valley Water District (SCVWD), Santa Clarita Valley Water Agency, San Bernardino Valley Municipal Water District, San Gabriel Valley Municipal Water District, San Geronimo Pass Water Agency, and the Metropolitan Water District of Southern California (Metropolitan), agreed to bear some of the financial obligations for the Planning Phase of the proposed Delta Conveyance Project. Each participating SWC has approved initial funding for the Planning Phase. The Authority is governed by a seven-member Board of Directors (the Board), including one member from Metropolitan, SCVWD, and Kern County and four members from other participating SWC agencies selected by classes of SWC as set forth in the Agreement.

Pursuant to the Agreement and its predecessor, the Board contracted Metropolitan to perform treasury services through an Inter-Agency Agreement between Metropolitan and the Authority dated June 5, 2018. The Inter-Agency Agreement designates Metropolitan as trustee over all funds of the Authority and requires that such monies be placed in a special fund designated the "DCA Fund" (the Fund). Disbursements from the Fund are made by Metropolitan at the direction of the Authority.

The Authority's policy is to prepare its statements of cash receipts and disbursements on the cash basis of accounting, which is a comprehensive basis of accounting other than U.S. generally accepted accounting principles (U.S. GAAP). Consequently, receipts are recognized when received rather than when earned, and disbursements are recognized when paid rather than when the obligation is incurred. Accordingly, the accompanying statement of cash receipts and disbursements is not intended to present the Authority's financial position and results of operations, in conformity with U.S. GAAP.

Certain amounts reported in fiscal year 2021 have been reclassified to conform to the fiscal year 2022 presentation. Such reclassification had no effect on the previously reported cash balance.

## **DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY**

Notes to Statements of Cash Receipts and Disbursements

Years ended June 30, 2022 and 2021

### **(2) Delta Conveyance Project**

On April 29, 2019, Governor Newsom issued an executive order calling for the development of a water resilience portfolio that meets the needs of California's communities, economy, and environment through the 21st century. This includes the governor's vision for a modern water supply infrastructure, a more climate resilient water supply for people and the environment, and the SWP must be updated to respond to climate change.

Accordingly, DWR launched the Planning Phase as defined above and released a Notice of Preparation on January 15, 2020, which initiated the California Environmental Quality Act (CEQA) scoping period that later concluded on April 17, 2020. On June 15, 2020, DWR submitted a revised permit application to request authorization for the proposed Delta Conveyance project activities in the waters of the United States. The Section 404 permit application was submitted in order to formally engage the United States Army Corps of Engineers (USACE) in early coordination with DWR's CEQA process regarding environmental review under USACE's process for compliance with the National Environmental Policy Act and the Clean Water Act and Rivers and Harbors Act.

Eighteen State Water Project contractors took action in November and December 2020 and approved their participation in the planning and pre-construction costs for the Delta Conveyance Project and authorized the execution of a funding agreement with the DWR for such purpose. Funding for completing the environmental process, including the Environmental Impact Report (EIR) and Environmental Impact Statement and the endangered species permits, is estimated at \$340.7 million for calendar years 2021 through 2024. Future funding for the project will be approved by the SWC at the start of each phase.

DWR also conducted interviews with stakeholders in February and early March of 2021 on the concept of incorporating a Community Benefits Program as part of the Delta Conveyance Project to help protect and enhance the cultural, recreational, natural resource and agricultural values of the Delta. DWR is also conducting a tribal consultation process as part of its environmental planning, consistent with State statutes and policies.

As of June 30, 2022, the DWR is continuing to develop a public Draft EIR under the CEQA for the Delta Conveyance. See Note 2 for subsequent events.

### **(3) Reimbursement of Stand Up Costs**

In December 2020, the Authority's Joint Powers Agreement was amended allowing for new members to participate in the Authority's power to design and construct the Delta Conveyance Project. Section 12.2 of the amended agreement stipulates the reimbursement to the original members who contributed to the Authority's Stand Up Costs when it was first formed. As a result, in November 2021, \$400,000, \$200,000, and \$200,000 were returned to Metropolitan, SCVWD, and Zone 7, respectively.

### **(4) Subsequent Events**

On July 27, 2022, DWR released the Draft EIR for public review and comments. The Draft EIR is based on the preliminary design of the proposed project including alternatives as provided by the Authority. The Draft EIR complies with CEQA requirements that evaluates a range of alternatives to the proposed project and discloses the potential environmental effects of the proposed project and alternatives, and associated mitigation measures for potentially significant impacts.

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION  
JOINT POWERS AUTHORITY**

Supplementary Information – Summary of DWR Charges on Behalf of the Authority (Unaudited)

	<b>For the years ended June 30,</b>	
	<b>2022</b>	<b>2021</b>
Disbursements:		
Environmental planning and design:		
Project controls	\$ —	1,080
Property access and acquisition	127,790	133,320
Total disbursements	\$ 127,790	134,400

**Note to Supplementary Information**

DWR incurred certain costs in support of the planning and environmental phase of the Delta Conveyance Project as well as the previous CWF project that were not billed to the Authority. These costs were excluded from the disbursements in the statements of cash receipts and disbursements because they were not paid with Authority monies.



# Delta Conveyance Design and Construction Joint Powers Authority

Discussion with Those Charged with Governance

Agenda Item 7c | Attachment 2

Audit results for the year ended June 30, 2022

December 15, 2022



# Required Communications to Those Charged with Governance

[Prepared on: 12/8/2022]

[Presented on: 12/15/2022]



# Summary: Audit results required communications and other matters

		Response
Audit results	Outstanding matters	No matters to communicate
	Significant unusual transactions	No significant unusual transactions identified during the audit
	Uncorrected audit misstatements	No matters to communicate
	Corrected audit misstatements	No matters to communicate
	Financial presentation and disclosure omissions	No matters to communicate
	Non-GAAP policies and practices	No matters to communicate
	Auditors' report	Unmodified opinion
	Changes to our risk assessment and planned audit strategy	No matters to communicate
	Significant accounting policies and practices	See note 1 to the financial statements
	Significant accounting estimates	No matters to communicate
	Significant financial statement disclosures	See notes to the financial statements
	Related parties	No matters to report
	Going concern	No matters to report
	Other information	No matters to report

# Summary: Audit results required communications and other matters

		Response
Audit results	Subsequent events	No matters to report
	Illegal acts or fraud	No actual or suspected fraud involving management, employees with significant roles in internal control, or where fraud results in a material misstatement in the financial statements were identified during the audit
	Noncompliance with laws and regulations	No matters to report
	Significant difficulties encountered during the audit	No matters to report
	Significant findings or issues discussed, or the subject of correspondence, with management	No matters to report
	Management's consultation with other accountants	No matters to report
	Difficult or contentious matters for which the auditor consulted	No matters to report
	Disagreements with management	No matters to report
	Other significant matters	No matters to report
	Written communications	Engagement letter and management representation letter
Independence		No matters to report
Inquiries		See slide 5



# Inquiries

The following inquiries are in accordance with AU-C 260

## Are those charged with governance aware of:

- Matters relevant to the audit, including, but not limited to, violations or possible violations of laws or regulations?
- Any significant communications with regulators?
- Any developments in financial reporting, laws, accounting standards, corporate governance, and other related matters, and the effect of such developments on, for example, the overall presentation, structure, and content of the financial statements, including the following:
  - The relevance, reliability, comparability, and understandability of the information presented in the financial statements
  - Whether all required information has been included in the financial statements, and whether such information has been appropriately classified, aggregated or disaggregated, and presented?

## Do those charged with governance have knowledge of:

- Fraud, alleged fraud, or suspected fraud affecting the Company?
  - If so, have the instances been appropriately addressed and how have they been addressed?

## Additional inquiries:

- What are those charged with governance's views about fraud risks in the Company?
- Who is the appropriate person in the governance structure for communication of audit matters during the audit?
- How are responsibilities allocated between management and those charged with governance?
- What are the Company's objectives and strategies and related business risks that may result in material misstatements?
- Are there any areas that warrant particular attention during the audit and additional procedures to be undertaken?
- What are those charged with governance's attitudes, awareness, and actions concerning (a) the Company's internal controls and their importance in the entity, including oversight of effectiveness of internal controls, and (b) detection of or possibility of fraud?
- Have there been any actions taken based on previous communications with the auditor?
- Has the Company entered into any significant unusual transactions?
- Whether the entity is in compliance with other laws and regulations that have a material effect on the financial statements?
- What are the other document(s) that comprise the annual report, and what is the planned manner and timing of issuance of such documents?
- Have any subsequent events occurred that might affect the financial statements?



# Questions?

For additional information and audit committee resources, including National Audit Committee Peer Exchange series, a Quarterly webcast, and suggested publications, visit the KPMG Audit Committee Institute (ACI) at [www.kpmg.com/ACI](http://www.kpmg.com/ACI)

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## Board Memo

**Contact:** Graham Bradner, Executive Director and Josh Nelson, General Counsel

**Date:** December 15, 2022 Board Meeting

**Item No. 7d**

**Subject:**

Consider Adopting Resolution to Authorize the President to Execute an Amendment to the Joint Exercise of Powers Agreement.

**Executive Summary:**

Staff recommends that the Board adopt the enclosed Resolution to Authorize the President to Execute an Amendment to the Joint Exercise of Powers Agreement.

**Detailed Report:**

At its June 20, 2019 meeting, the Board of Directors approved an Amendment to the Joint Exercise of Powers Agreement (JEPA). This Amendment allows the DCA to provide planning and environmental services to the Department of Water Resources (DWR) to assist in its review of a potential future Delta Conveyance project under the California Environmental Quality Act (CEQA) and other applicable laws. The DCA has provided these services since the amendment and will continue to do so during the current planning phase of the proposed Delta Conveyance project. The JEPA has been further amended in the Second through Sixth Amendments.

The enclosed proposed Amendment No. 7 makes an administrative amendment, changing the repayment date of the DWR initial contribution from January 10, 2023 to January 10, 2025. This contribution is currently \$43.2 million and provided temporary funding that will be repaid by the DCA.

As noted in the attached resolution, approval of the Amendment does not qualify as a project subject to CEQA. A redline is not provided in the packet as this amendment simply updates the deadline to repay the DWR initial contribution.

**Recommended Action:**

Adopt the attached Resolution to Authorize the President to Execute an Amendment to the Joint Exercise of Powers Agreement.

**Attachments:**

Attachment 1 - Draft Resolution 22-xx

Exhibit A - JEPA Amendment #7

BOARD OF DIRECTORS OF THE DELTA CONVEYANCE  
DESIGN AND CONSTRUCTION AUTHORITY

RESOLUTION NO. 22-XX

Introduced by Director xxxx

Seconded by Director xxxx

AUTHORIZE THE PRESIDENT TO EXECUTE AN AMENDMENT TO THE JOINT EXERCISE OF  
POWERS AGREEMENT, AND FIND THAT THE EXECUTION OF THE AMENDMENT IS NOT A  
PROJECT SUBJECT TO CEQA

Whereas, DWR and the DCA previously entered into that certain Joint Exercise of Powers Agreement between the parties as amended by Amendments No. 1-6 (JEPA); and

Whereas, the parties wish to further amend the JEPA as set forth in the attached Amendment No. 7; and

Whereas, the proposed edits to the JEPA modify the repayment date of the DWR Initial Contribution as defined in the JEPA;

Now, therefore, be it resolved that the DCA Board hereby finds that approval of Amendment No. 7 is not a project subject to the California Environmental Quality Act (CEQA). Amendment No. 7 does not qualify as a “project” subject to CEQA because it has no potential to result in either a direct, or reasonably foreseeable indirect, physical change in the environment. (State CEQA Guidelines, §§ 15060, subd. (c)(3), 15378, subd. (a).) Amendment No. 7 does not authorize any activity that could potentially impact the environment; rather, Amendment No. 7 merely makes administrative edits to the JEPA. The amendment is thus an organizational or administrative activity that is not subject to CEQA. (State CEQA Guidelines, § 15378, subd. (b)(4)-(5).)

Therefore, be it further resolved that the DCA Board hereby authorizes the President to execute Amendment No. 7 to the Joint Exercise of Powers Agreement, substantially in the form attached to this Resolution as Exhibit A and incorporated by this reference.

\* \* \* \* \*

This Resolution was passed and adopted this 15<sup>th</sup> day of December 2022, by the following vote:

Ayes:

Noes:

Absent:

Abstain:

---

Sarah Palmer, Board President

Attest:

---

Gary Martin, Secretary

EXHIBIT A

JEPA Amendment No. 7

[attached behind this page]

**AMENDMENT NO. 7  
TO  
AMENDED AND RESTATED JOINT EXERCISE OF POWERS AGREEMENT  
BETWEEN THE  
DEPARTMENT OF WATER RESOURCES, STATE OF CALIFORNIA  
AND THE  
AUTHORITY**

This Amendment No. 7 to the October 26, 2018, Amended and Restated Joint Exercise of Powers Agreement Between the Department of Water Resources of the State of California (“DWR”), as previously amended, and the Delta Conveyance Design and Construction Joint Powers Authority (“Authority”) is entered into and effective as of the last date set forth on a signature page hereto.

**RECITALS**

WHEREAS, in May 2018 DWR and the Authority (collectively “the Parties”) entered into a Joint Exercise of Powers Agreement and in October 2018 into an Amended and Restated Joint Exercise of Powers Agreement, whereby the Authority agreed to manage the design and construction of California WaterFix under DWR’s ultimate control and oversight;

WHEREAS, the State subsequently set a new water policy for California and on May 2, 2019, DWR withdrew its approval of the California WaterFix project;

WHEREAS, the parties entered into that Amendment No. 1 to the Amended and Restated Joint Exercise of Powers Agreement (“Amendment No. 1”) to outline the initial planning and engineering services that the Authority will provide to DWR during its consideration of a different potential Delta conveyance project;



WHEREAS, the parties entered into those further Amendments No. 2-6 to the Amended and Restated Joint Exercise of Powers Agreement (collectively, the “Agreement”) to make certain changes;

WHEREAS, Government Code section 6504 permits parties to a joint exercise of powers agreement to contribute funds, personnel and services, subject to repayment as set forth in such agreement; and

WHEREAS, consistent with Section 6504 and applicable law, the parties desire to further amend the Agreement to extend the reimbursement date of the Initial DWR Contribution, as defined in the Agreement.

NOW THEREFORE, in consideration of the mutual covenants contained in the Agreement and this Amendment No. 7, the parties hereby agree to amend the Agreement as follows.

**AMENDMENT**

- A. The reimbursement date for the Initial DWR Contribution set forth in paragraph A of Amendment No. 5 is hereby changed to January 10, 2025.

Except as hereby amended, the Agreement shall remain in full force and effect.

*(Remainder of page intentionally left blank)*

IN WITNESS WHEREOF, the parties have hereunto affixed their names as of the day  
and year hereinafter written.

**STATE OF CALIFORNIA  
DEPARTMENT OF WATER  
RESOURCES**

**DESIGN AND CONSTRUCTION  
AUTHORITY**

By: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

**Approved as to legal form and consistency**

**Approved as to legal form and consistency**

By: \_\_\_\_\_  
General Counsel

By: \_\_\_\_\_  
General Counsel

## Board Memo

**Contact:** Claudia Rodriguez, Chief of Staff and Josh Nelson, General Counsel

**Date:** December 15, 2022, Board Meeting

**Item:** 7e

**Subject:** AB 2449 Remote Meeting Update

### Executive Summary:

Staff will provide an update on AB 2449 developments and how the DCA will prepare for implementation of the new law.

### Detailed Report:

As discussed at prior meetings, the Legislature adopted AB 2449 this year, which will take effect January 1, 2023. Under this legislation and going forward, local agencies will be permitted to conduct teleconference meetings in two situations: under the traditional teleconference rules and under the new AB 2449 requirements. The legislation does permit agencies also to use the more flexible AB 361 requirements; however, these requirements can generally only be used during a statewide declaration of emergency. Based on the anticipated end of the COVID-19 declaration of emergency in February, DCA staff has begun planning for future remote meetings under AB 2449 beginning in March 2023.

Government Code section 54953(b)(1) outlines the requirements for Traditional Teleconference meetings. These include the following:

- The agenda must identify each location from which a member will participating from, even if this is the member's home or place of business.
- An agenda must be posted at each location a member is participating from.
- Each teleconference location must be accessible to the public, and any members of the public who do show up at the teleconference locations must be allowed to address the public body directly from that location.
- All votes during the meeting must be taken by roll call.

AB 2449 provides more flexibility as it permits Directors to participate in meetings from remote locations that are not listed on the agenda or accessible to the public. However, Directors must satisfy a "Just Cause" or "Emergency Circumstance" standard to do so. The statute defines "Just Cause" as (1) a childcare or caregiving need for a child, parent, grandparent, grandchild, sibling, spouse, or domestic partner; (2) a contagious illness; (3) a need related to a physical or mental disability for which the body cannot otherwise make a reasonable accommodation; or (4) travel while on official business for their agency or another state or local agency. "Emergency Circumstances" are defined "a physical or family medical emergency that prevents a member from attending in person." There are limits on the number of times Directors can participate remotely

under AB 2449. Just cause may be used up to two times per year. Just Cause and Emergency Circumstance cannot be used more than three consecutive months, or 20% of the body's regular meetings within a calendar year.

In addition to meeting these threshold standards, AB 2449 meetings are subject to additional requirements. These include (1) a quorum of the Board must participate from a single physical location, (2) Directors participating remotely must be do so by video and audio, and (3) the remote member must disclose whether any individuals age 18 or older are present in that remote location with the member, and the general nature of the relationship.

Given the strict limitations of AB 2449, it will be only available in unique situations that prevent a Director from attending in person or by traditional teleconference. In addition, if a quorum of the Board is already attending by traditional teleconference, AB 2449 would not be available.

In light of this but recognizing that Directors may not be able to attend in person each meeting, staff recommends scheduling each Board meeting as a traditional teleconference meeting so that Directors and Alternate Directors can either attend in person or remotely. The DCA will work with the respective participating agencies to ensure DCA Directors and the public can participate remotely via Traditional Teleconference. The DCA Administrative team is coordinating with the respective agencies to test and ensure DCA's meeting platform and systems are compatible and to plan out overall remote participation. This planning will include identifying space to accommodate the general public to participate in the meeting, access to DCA materials and the ability to address the public body as appropriate.

**Recommended Action:**

Informational Item, only.

**Attachments:**

None.

## General Counsel's Report

**Contact:** Josh Nelson, General Counsel

**Agenda Date:** December 15, 2022, Board Meeting

**Item No. 8a**

**Subject:** Status Update

**Summary:**

The General Counsel continues to assist the DCA on legal matters as requested.

**Detailed Report:**

The General Counsel continues to assist staff as necessary. This has included support related to the Joint Exercise of Powers Agreement (JEPA) amendment and the future of remote meetings item on the agenda. It also included assistance with revisions to the procurement policy that will be presented to the Board at a future meeting.

Lastly and as noted in prior reports, we continue to assist with other legal matters as necessary. These matters are confidential and not appropriate for discussion in a public report.

**Recommended Action:**

Information, only.



## Treasurer's Report

**Contact:** Katano Kasaine, Treasurer

**Date:** December 15, 2022

**Item No. 8b**

**Subject:** Treasurer's Monthly Report, October/November 2022

**Summary:**

The beginning cash balance for the Delta Conveyance Design and Construction Joint Powers Authority (Authority) at October 1, 2022 was \$448,271. During October through November 2022, receipts totaled \$3,596,934 representing contributions from the Department of Water Resources, Delta Conveyance Office (DCO) for payment of the Authority's obligations. Total disbursements for the two months were \$3,850,590. The ending cash balance at November 30, 2022 was \$194,615.

As of November 30, 2022, the Authority's receivables totaled \$4,175,217 consisting of 21 invoices to the DCO.

Balances for prepaid expenses and accounts payable, as of November 30, 2022, were \$172,608 and \$3,871,172, respectively. For the same period, advances totaled \$500,000 and total net position was \$171,268.

Attachment 1 consists of financial statements for the two months ended November 2022, a schedule of Invoices Paid through November 2022, and Aging Schedules for Accounts Payable and Accounts Receivable as of November 30, 2022.

**Detailed Report:**

See attached statements.

**Recommended Action:**

Information, only.

**Attachments:**

Attachment 1 – October/November 2022 Authority Financial Statements



**DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY**

Statement of Net Position

As of November 30, 2022

Assets:

Cash	\$	194,615
Accounts receivable		4,175,217
Prepays		<u>172,608</u>
Total assets	\$	<u><u>4,542,440</u></u>

Liabilities:

Accounts payable	\$	3,871,172
Advance for prepayments		<u>500,000</u>
Total liabilities		4,371,172

Net position:

		<u>171,268</u>
Total liabilities and net position	\$	<u><u>4,542,440</u></u>

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY**

## Statements of Cash Receipts and Disbursements

	Oct. 1, 2022 Nov. 30, 2022	Year to Date Nov. 30, 2022
Receipts:		
Contributions <sup>(1)</sup>	\$ 3,596,934	\$ 7,384,022
Disbursements:		
Program management office		
Executive office	271,374	644,851
Community engagement	86,477	210,311
Program controls	310,477	614,082
Administration	522,528	1,042,259
Procurement	25,525	50,144
Property	50,414	92,847
Permitting management	74,970	190,889
Health and safety	10,000	10,675
Quality management	30,000	30,000
Program initiation		
Engineering	1,035,526	2,815,110
Fieldwork	1,433,299	1,887,224
Total disbursements	3,850,590	7,588,392
Net changes in cash	(253,656)	(204,370)
Cash at July 1, 2022	—	398,985
Cash at October 1, 2022	448,271	—
Cash at November 30, 2022	\$ 194,615	\$ 194,615

<sup>(1)</sup> DWR contributions invoiced through the DCO.



**DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY**

## Statements of Revenues, Expenses and Changes in Net Position

	Oct. 1, 2022 Nov. 30, 2022	Year to Date Nov. 30, 2022
Revenues:		
Contributions <sup>(1)</sup>	\$ 4,424,220	\$ 9,749,079
Expenses:		
Program management office		
Executive office	333,194	782,314
Community engagement	60,684	238,727
Program controls	482,728	972,181
Administration	423,411	1,031,670
Procurement	30,573	70,598
Property	114,837	185,005
Permitting management	68,156	191,485
Health and safety	3,192	13,867
Quality management	68,385	98,385
Program initiation		
Engineering	1,383,834	3,123,128
Fieldwork	1,358,216	2,936,805
Total expenses	4,327,210	9,644,165
Changes in net position	97,010	104,914
Net position at June 30, 2022	—	66,354
Net position at September 30, 2022	74,258	—
Net position at November 30, 2022	\$ 171,268	\$ 171,268

\* Amounts may include prior month accruals that were not previously captured due to timing.

<sup>(1)</sup> DWR contributions invoiced through the DCO.



## DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Schedule of Invoices Paid  
for the Five Months Ended November 30, 2022

Vendor	Invoice #	Invoice Date	Payment Date	Period of Expense	Invoice Amount	Amount Paid
1 AirTouch Cellular (Verizon)	9909058586	06/17/22	07/06/22	05/18/22-06/17/22	\$ 160	\$ 160
2 Caltronics Business Systems	3526028	06/24/22	07/06/22	05/21/22-06/20/22	1,787	1,787
3 Consolidated Communications	20220615	06/15/22	07/13/22	06/15/22-07/14/22	3,101	3,101
4 AT&T	5503221707	06/19/22	07/13/22	06/19/22-07/18/22	1,962	1,962
5 Prime US-Park Tower LLC	20220701	07/01/22	07/13/22	07/01/22-07/31/22	98,173	98,173
6 Liberty Mutual Insurance	06072022	06/07/22	07/20/22	07/01/22-06/30/23	9,908	9,908
7 Bank of America	N/A**	07/21/22	07/21/22	07/21/22	482	482
8 Bank of America	N/A**	06/01/22	08/12/22	08/02/22	8,306	8,306
9 Carahsoft Technology Corp	20044699INV	05/27/22	08/12/22	07/01/22-06/30/23	1,449	1,449
10 AT&T	1125341709	06/06/22	08/12/22	07/19/22-08/18/22	1,976	1,976
11 Consolidated Communications	20220715	05/17/22	08/30/22	07/15/22-08/14/22	3,101	3,101
12 RingCentral	CD_000422004	05/24/22	08/30/22	06/28/22-07/27/22	3,841	3,841
13 Caltronics Business Systems	3544999	05/31/22	08/30/22	06/21/22-07/20/22	1,806	1,806
14 Prime US-Park Tower LLC	20220801	06/16/22	08/30/22	08/01/22-08/31/22	98,173	98,173
15 RingCentral	CD_000436240	06/16/22	08/30/22	07/28/22-08/27/22	3,881	3,881
16 AirTouch Cellular (Verizon)	9911375871	06/16/22	08/30/22	06/18/22-07/17/22	160	160
17 Management Partners	INV10577	06/14/22	08/30/22	05/01/22-05/31/22	44,586	44,586
18 Audio Visual Innovations, Inc.	1732809	06/15/22	08/30/22	05/01/22-05/31/22	36,081	36,081
19 Santa Clara Valley Water District	6	06/21/22	08/30/22	04/30/22-05/27/22	18,867	18,867
20 AECOM Technical Services	2000624992	06/23/22	08/30/22	04/09/22-04/29/22	54,856	54,856
21 Jacobs	W8X97004-10	04/30/22	08/30/22	02/26/22-04/29/22	559,797	559,797
22 Bender Rosenthal, Inc.	18250.04-11	03/31/22	08/30/22	04/30/22-05/27/22	22,507	22,507
23 Gwen Buchholz, Permit Engineer, Inc.	014	06/29/22	08/30/22	05/01/22-05/27/22	18,000	18,000
24 Gwen Buchholz, Permit Engineer, Inc.	01	06/30/22	08/30/22	05/28/22-05/31/22	2,375	2,375
25 Parsons	2206B807	06/29/22	08/30/22	04/30/22-05/27/22	267,852	267,852
26 Jacobs	W8X97004-11	06/30/22	08/30/22	04/30/22-05/30/22	661,082	661,082
27 Hamner, Jewell & Associates	201760	06/30/22	08/30/22	05/01/22-05/31/22	173	173
28 Best, Best, & Krieger	938771	07/06/22	08/30/22	05/01/22-05/31/22	14,959	14,959
29 DirectApps Inc. (Direct Technology)	18493	06/30/22	08/30/22	04/01/22-04/30/22	11,541	11,541
30 VMA Communications	DCA22Apr	06/30/22	08/30/22	04/01/22-04/30/22	9,000	9,000
31 VMA Communications	DCA22Mar	07/07/22	08/30/22	03/01/22-03/31/22	9,000	9,000
32 Keogh Multimedia	MK-2022-04	05/31/22	08/30/22	05/01/22-06/30/22	1,121	1,121
33 DirectApps Inc. (Direct Technology)	18494	06/30/22	08/30/22	05/01/22-05/31/22	11,031	11,031
34 Audio Visual Innovations, Inc.	1750782	06/29/22	08/30/22	06/29/22-06/29/22	282	282
35 Spark Street Digital	3510	06/30/22	08/30/22	04/06/22-04/06/22	4,687	4,687
36 Spark Street Digital	3511	06/30/22	08/30/22	05/19/22-05/19/22	6,192	6,192
37 Santa Clara Valley Water District	7	07/06/22	08/30/22	05/28/22-06/30/22	17,691	17,691
38 Bender Rosenthal, Inc.	18250.04-12	06/30/22	08/30/22	05/28/22-06/30/22	19,258	19,258
39 Audio Visual Innovations, Inc.	1751269	06/30/22	08/30/22	06/01/22-06/30/22	9,880	9,880
40 Gwen Buchholz, Permit Engineer, Inc.	02	07/07/22	08/30/22	06/01/22-06/30/22	17,375	17,375
41 VMA Communications	DCA22May	05/31/22	08/30/22	05/01/22-05/31/22	62,253	62,253
42 VMA Communications	DCA22Jun	06/30/22	08/30/22	06/01/22-06/30/22	9,000	9,000
43 AECOM Technical Services	2000637452	06/20/22	09/07/22	04/30/22-05/27/22	71,739	71,739
44 Management Partners	INV10676	07/05/22	09/07/22	06/01/22-06/30/22	44,585	44,585
45 Hamner, Jewell & Associates	201811	07/13/22	09/07/22	06/01/22-06/30/22	496	496
46 Best, Best, & Krieger	940137	07/05/22	09/07/22	06/01/22-06/30/22	12,882	12,882
47 Consolidated Communications	20220815	08/15/22	09/07/22	08/15/22-09/14/22	3,101	3,101
48 AT&T	9371472708	08/19/22	09/07/22	08/19/22-09/18/22	1,976	1,976
49 Caltronics Business Systems	3565107	08/23/22	09/07/22	07/21/22-08/20/22	1,924	1,924
50 AirTouch Cellular (Verizon)	9913706627	08/17/22	09/07/22	07/18/22-08/17/22	10	10
51 Prime US-Park Tower LLC	20220901	09/01/22	09/07/22	09/01/22-09/30/22	98,173	98,173
52 RingCentral	CD_000451089	08/29/22	09/09/22	08/28/22-09/27/22	3,881	3,881
53 AECOM Technical Services	2000639292	06/24/22	09/21/22	05/11/22-05/27/22	65,844	65,844
54 AECOM Technical Services	2000644401	07/15/22	09/21/22	05/11/22-06/30/22	54,893	54,893
55 Metropolitan Water District of So. Ca	501824	06/16/22	09/21/22	05/01/22-05/31/22	20,036	20,036
56 AVL SPL LLC	1764208	07/22/22	09/21/22	07/01/22-06/30/23	10,128	10,128
57 Jacobs	W8X97004-12	07/28/22	09/21/22	05/31/22-06/30/22	774,494	774,494
58 ACWA	FCINV-22-486	09/06/22	09/21/22	11/30/22	370	370
59 Alliant	2011515	07/01/22	09/23/22	07/01/22-07/01/23	24,908	24,908
60 Parsons	2207B505	07/19/22	09/28/22	04/01/22-06/30/22	376,150	376,150
61 Management Partners	INV10788	08/02/22	09/28/22	07/01/22-07/31/22	44,500	44,500
Subtotal July - September*					\$ 3,737,802	\$ 3,737,802

\* Totals may not foot due to rounding.

\*\*Auto-withdrawal for Bank of America Line of Credit fee.



## DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Schedule of Invoices Paid  
for the Five Months Ended November 30, 2022  
(Continued)

Vendor	Invoice #	Invoice Date	Payment Date	Period of Expense	Invoice Amount	Amount Paid
62 Bender Rosenthal, Inc.	18250.05-1	06/17/22	10/05/22	07/01/22-07/29/22	21,821	21,821
63 Metropolitan Water District of So. Ca	501830	06/24/22	10/05/22	06/01/22-06/30/22	19,547	19,547
64 Convergent Systems	41425	06/15/22	10/05/22	04/01/22-06/30/22	534	534
65 DirectApps Inc. (Direct Technology)	19037	06/19/22	10/05/22	06/01/22-06/30/22	11,030	11,030
66 DirectApps Inc. (Direct Technology)	19038	07/01/22	10/05/22	07/01/22-07/31/22	11,012	11,012
67 Gwen Buchholz, Permit Engineer, Inc.	01A	06/07/22	10/05/22	07/01/22-07/31/22	26,125	26,125
68 Santa Clara Valley Water District	8	07/21/22	10/05/22	07/01/22-07/29/22	20,281	20,281
69 Parsons	2208B992	08/02/22	10/05/22	07/01/22-07/30/22	62,423	62,423
70 Consolidated Communications	20220915	06/07/22	10/05/22	09/15/22-10/14/22	3,101	3,101
71 Caltronics Business Systems	3585323	07/19/22	10/05/22	08/21/22-09/20/22	1,800	1,800
72 AT&T	1628243709	07/15/22	10/05/22	09/19/22-10/18/22	1,976	1,976
73 AirTouch Cellular (Verizon)	9916056860	06/29/22	10/05/22	08/18/22-09/17/22	95	95
74 Prime US-Park Tower LLC	20221001	07/25/22	10/05/22	10/01/22-10/31/22	98,173	98,173
75 AECOM Technical Services	2000650370	08/01/22	10/12/22	05/01/22-06/30/22	989,733	989,733
76 Jacobs	W8X97005-01	07/29/22	10/12/22	07/01/22-07/29/22	558,859	558,859
77 RingCentral	CD_000466254	07/17/22	10/12/22	09/28/22-10/27/22	3,882	3,882
78 AECOM Technical Services	2000657875	06/01/22	10/19/22	07/01/22-07/29/22	16,109	16,109
79 Parsons	2209B778	05/27/22	10/19/22	07/01/22-07/29/22	277,962	277,962
80 AECOM Technical Services	2000668262	06/06/22	10/26/22	08/01/22-08/31/22	22,315	22,315
81 VMA Communications	DCA22JULY	05/17/22	10/26/22	07/01/22-07/31/22	19,500	19,500
82 Convergent Systems	41801	05/24/22	10/26/22	07/01/22-09/30/22	534	534
83 Management Partners	INV10914	05/31/22	11/01/22	08/01/22-08/31/22	44,919	44,919
84 Consolidated Communications	20221015	06/16/22	11/04/22	10/15/22-11/14/22	3,101	3,101
85 AT&T	3864333708	06/16/22	11/04/22	10/19/22-11/18/22	1,976	1,976
86 AirTouch Cellular (Verizon)	9918426116	06/16/22	11/04/22	09/18/22-10/17/22	96	96
87 Caltronics Business Systems	3607484	06/14/22	11/04/22	09/21/22-10/20/22	1,813	1,813
88 Prime US-Park Tower LLC	20221101	06/15/22	11/04/22	11/01/22-11/30/22	98,654	98,654
89 AECOM Technical Services	2000662812	06/21/22	11/09/22	07/01/22-07/31/22	21,505	21,505
90 Santa Clara Valley Water District	9	06/23/22	11/09/22	07/30/22-08/26/22	19,423	19,423
91 AVI_SPL LLC	1788721	04/30/22	11/09/22	06/01/22-06/30/22	17,162	17,162
92 Bender Rosenthal, Inc.	18250.05-2	03/31/22	11/09/22	07/30/22-08/26/22	28,548	28,548
93 Metropolitan Water District of So. Ca	501832-supplemental	06/29/22	11/09/22	06/01/22-06/30/22	8,091	8,091
94 Metropolitan Water District of So. Ca	501832	06/30/22	11/09/22	07/01/22-07/31/22	17,826	17,826
95 AECOM Technical Services	2000662898	06/29/22	11/18/22	07/01/22-07/31/22	100,705	100,705
96 Gwen Buchholz, Permit Engineer, Inc.	02	06/30/22	11/18/22	08/01/22-08/31/22	5,125	5,125
97 Jacobs	W8X97005-02	06/30/22	11/18/22	07/30/22-08/26/22	645,551	645,551
98 Parsons	2209B881	07/06/22	11/18/22	07/30/22-08/26/22	301,477	301,477
99 Hamner, Jewell & Associates	202001	06/30/22	11/18/22	08/01/22-08/31/22	45	45
100 VMA Communications	DCA22AUG	06/30/22	11/18/22	08/01/22-08/31/22	20,600	20,600
101 RingCentral	CD_000482267	07/07/22	11/18/22	10/28/22-11/27/22	3,868	3,868
102 Metropolitan Water District of So. Ca	501836	05/31/22	11/29/22	08/01/22-08/31/22	25,753	25,753
103 Management Partners	INV11020	06/30/22	11/29/22	09/01/22-09/30/22	44,500	44,500
104 DirectApps Inc. (Direct Technology)	19220	06/20/22	11/29/22	08/01/22-08/31/22	16,682	16,682
105 Best, Best, & Krieger	945932	06/24/22	11/29/22	06/01/22-06/30/22	1,375	1,375
106 Santa Clara Valley Water District	10	07/15/22	11/29/22	08/27/22-09/30/22	29,238	29,238
107 AECOM Technical Services	2000669252	07/05/22	11/29/22	08/01/22-08/31/22	92,910	92,910
108 e-Builder	13093	07/13/22	11/29/22	10/26/22-10/25/23	132,250	132,250
109 Keogh Multimedia	MK-2022-05	07/05/22	11/29/22	07/01/22-09/30/22	585	585
Subtotal October - November*					\$ 3,850,590	\$ 3,850,590
Total July - November*					\$ 7,588,392	\$ 7,588,392

\* Totals may not foot due to rounding.

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY**Accounts Payable Aging Schedule  
As of November 30, 2022

<b><u>Payable To:</u></b>	<b><u>1 - 30</u></b>	<b><u>31 - 60</u></b>	<b><u>61 - 90</u></b>	<b><u>&gt; 90</u></b>	<b><u>Total</u></b>
AECOM Technical Services					
Invoice #2000679088	\$ 19,724	\$ —	\$ —	\$ —	\$ 19,724
Invoice #2000680431	125,812	—	—	—	125,812
Invoice #2000682268	813,551	—	—	—	813,551
Invoice #2000683968	27,958	—	—	—	27,958
Invoice #2000683358	118,303	—	—	—	118,303
Invoice #2000691702	18,722	—	—	—	18,722
AirTouch Cellular (Verizon)					
Invoice #9920811262	309	—	—	—	309
Associated Right of Way Services, Inc.					
Invoice #20721	457	—	—	—	457
Invoice #20746	891	—	—	—	891
AT&T					
Invoice #6621205706	988	—	—	—	988
Bender Rosenthal, Inc.					
Invoice #18250.05-3	30,460	—	—	—	30,460
Invoice #18250.05-4	21,347	—	—	—	21,347
Best, Best, & Krieger					
Invoice #943746	20,223	—	—	—	20,223
Invoice #945949	15,876	—	—	—	15,876
Invoice #949134	20,527	—	—	—	20,527
Invoice #949690	32,175	—	—	—	32,175
Caltronics Business Systems					
Invoice #3630173	2,149	—	—	—	2,149
DirectApps Inc. (Direct Technology)					
Invoice #19430	15,340	—	—	—	15,340
Gwen Buchholz, Permit Engineer, Inc.					
Invoice #2223-03	7,250	—	—	—	7,250
Invoice #2223-04	7,500	—	—	—	7,500
Jacobs					
Invoice #W8X97005-03	840,688	—	—	—	840,688
Invoice #W8X97005-04	755,464	—	—	—	755,464
Metropolitan Water District of So. Ca.					
Invoice #501839	18,753	—	—	—	18,753
Parsons					
Invoice #2210A953	435,362	—	—	—	435,362
Invoice #2211A584	470,885	—	—	—	470,885
Santa Clara Valley Water District					
Invoice #11	21,858	—	—	—	21,858
VMA Communications					
Invoice #DCA22Sep	14,000	—	—	—	14,000
Invoice #DCA22Oct	14,600	—	—	—	14,600
	<b>\$ 3,871,172</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ 3,871,172</b>

\*Totals may not foot due to rounding.

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY**Accounts Receivable Aging Schedule <sup>(1)</sup>  
As of November 30, 2022

<b><u>Receivable From:</u></b>	<b><u>1 - 30</u></b>	<b><u>31 - 60</u></b>	<b><u>61 - 90</u></b>	<b><u>&gt; 90</u></b>	<b><u>Total</u></b>
Department of Water Resources					
Invoice #DCA-351	\$ —	\$ 98,173	\$ —	\$ —	\$ 98,173
Invoice #DCA-370	85,846	—	—	—	85,846
Invoice #DCA-371	19,724	—	—	—	19,724
Invoice #DCA-372	435,362	—	—	—	435,362
Invoice #DCA-373	3,558	—	—	—	3,558
Invoice #DCA-374	98,173	—	—	—	98,173
Invoice #DCA-375	17,885	—	—	—	17,885
Invoice #DCA-376	125,812	—	—	—	125,812
Invoice #DCA-377	840,688	—	—	—	840,688
Invoice #DCA-378	813,551	—	—	—	813,551
Invoice #DCA-379	27,958	—	—	—	27,958
Invoice #DCA-380	46,252	—	—	—	46,252
Invoice #DCA-381	118,303	—	—	—	118,303
Invoice #DCA-382	32,970	—	—	—	32,970
Invoice #DCA-383	35,947	—	—	—	35,947
Invoice #DCA-384	30,090	—	—	—	30,090
Invoice #DCA-385	755,464	—	—	—	755,464
Invoice #DCA-386	18,722	—	—	—	18,722
Invoice #DCA-387	98,654	—	—	—	98,654
Invoice #DCA-388	1,200	—	—	—	1,200
Invoice #DCA-389	470,885	—	—	—	470,885
	<b>\$ 4,077,044</b>	<b>\$ 98,173</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ 4,175,217</b>

\*Totals may not foot due to rounding.

<sup>(1)</sup> Approval date by the DCO determines aging classification.

# DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

## Statements of Cash Receipts and Disbursements

	Oct. 1, 2022 Nov. 30, 2022	Year to Date Nov. 30, 2022
Receipts:		
Contributions <sup>(1)</sup>	\$ 3,596,934	\$ 7,384,022
Disbursements:		
Program management office		
Executive office	271,374	644,851
Community engagement	86,477	210,311
Program controls	310,477	614,082
Administration	522,528	1,042,259
Procurement	25,525	50,144
Property	50,414	92,847
Permitting management	74,970	190,889
Health and safety	10,000	10,675
Quality management	30,000	30,000
Program initiation		
Engineering	1,035,526	2,815,110
Fieldwork	1,433,299	1,887,224
Total disbursements	3,850,590	7,588,392
Net changes in cash	(253,656)	(204,370)
Cash at July 1, 2022	—	398,985
Cash at October 1, 2022	448,271	—
Cash at November 30, 2022	\$ 194,615	\$ 194,615

## Statements of Revenues, Expenses and Changes in Net Position

	Oct. 1, 2022 Nov. 30, 2022	Year to Date Nov. 30, 2022
Revenues:		
Contributions <sup>(1)</sup>	\$ 4,424,220	\$ 9,749,079
Expenses:		
Program management office		
Executive office	333,194	782,314
Community engagement	60,684	238,727
Program controls	482,728	972,181
Administration	423,411	1,031,670
Procurement	30,573	70,598
Property	114,837	185,005
Permitting management	68,156	191,485
Health and safety	3,192	13,867
Quality management	68,385	98,385
Program initiation		
Engineering	1,383,834	3,123,128
Fieldwork	1,358,216	2,936,805
Total expenses	4,327,210	9,644,165
Changes in net position	97,010	104,914
Net position at June 30, 2022	—	66,354
Net position at September 30, 2022	74,258	—
Net position at November 30, 2022	\$ 171,268	\$ 171,268

\* Amounts may include prior month accruals that were not previously captured due to timing.

<sup>(1)</sup> DWR contributions invoiced through the DCO.



## DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

	Statements of Cash Receipts and Disbursements	Statements of Cash Receipts and Disbursements	Statements of Revenues, Expenses and Changes in Net Position	Statements of Revenues, Expenses and Changes in Net Position
	Oct. 1, 2022 Nov. 30, 2022	Year to Date Nov. 30, 2022	Oct. 1, 2022 Nov. 30, 2022	Year to Date Nov. 30, 2022
Receipts/Revenues:				
Contributions <sup>(1)</sup>	\$ 3,596,934	\$ 7,384,022	\$ 4,424,220	\$ 9,749,079
Disbursements/Expenses*:				
Program management office				
Executive office	271,374	644,851	333,194	782,314
Community engagement	86,477	210,311	60,684	238,727
Program controls	310,477	614,082	482,728	972,181
Administration	522,528	1,042,259	423,411	1,031,670
Procurement	25,525	50,144	30,573	70,598
Property	50,414	92,847	114,837	185,005
Permitting management	74,970	190,889	68,156	191,485
Health and safety	10,000	10,675	3,192	13,867
Quality management	30,000	30,000	68,385	98,385
Program initiation				
Engineering	1,035,526	2,815,110	1,383,834	3,123,128
Fieldwork	1,433,299	1,887,224	1,358,216	2,936,805
Total disbursements/expenses	3,850,590	7,588,392	4,327,210	9,644,165
Net changes in cash	(253,656)	(204,370)		
Cash at July 1, 2022	—	398,985		
Cash at October 1, 2022	448,271	—		
Cash at November 30, 2022	\$ 194,615	\$ 194,615		
Changes in net position			97,010	104,914
Net position at June 30, 2022			—	66,354
Net position at September 30, 2022			74,258	—
Net position at November 30, 2022			\$ 171,268	\$ 171,268

\* Amounts may include prior month accruals that were not previously captured due to timing.

<sup>(1)</sup> DWR contributions invoiced through the DCO.